# THE CATEGORY OF EXTENSIONS AND IDEMPOTENT COMPLETION

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ABSTRACT. Building on previous work, we study the splitting of idempotents in the category of extensions  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) associated to a pair ( $\mathcal{C}$ ,  $\mathbb{E}$ ) of an additive category and a biadditive functor to the category of abelian groups. In particular, we show that idempotents split in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) whenever they do so in  $\mathcal{C}$ , allowing us to prove that idempotent completions and extension categories are compatible constructions in a 2-category-theoretic sense. Furthermore, we show that the exact category obtained by first taking the idempotent completion of an n-exangulated category ( $\mathcal{C}$ ,  $\mathbb{E}$ ,  $\mathfrak{s}$ ), in the sense of Klapproth–Msapato–Shah, and then considering its category of extensions is equivalent to the exact category obtained by first passing to the extension category and then taking the idempotent completion. These two different approaches yield a pair of 2-functors each taking small n-exangulated categories to small idempotent complete exact categories. The collection of equivalences that we provide constitutes a 2-natural transformation between these 2-functors. Similar results with no smallness assumptions and regarding weak idempotent completions are also proved.

## 1. Introduction

An additive category is called *idempotent complete* given that every idempotent morphism *splits* (see Definition 2.1), or equivalently if every idempotent admits a kernel (see e.g.

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[Shah, 2023, Prop. 3.10]). The study of idempotent complete categories dates back to work by Karoubi [Karoubi, 1968], in which it was shown that an additive category  $\mathcal{C}$  can be naturally embedded into an idempotent complete category  $\widetilde{\mathcal{C}}$ , often called its *Karoubi envelope* or its *idempotent completion* (see Definition 2.2 and Proposition 2.3).

The splitting of idempotents plays an important role in contemporary algebraic geometry, homological algebra, representation theory and category theory. Indeed, it is intimately connected to the Krull-Remak-Schmidt property and Krull-Schmidt categories (see [Chen, Ye, Zhang, 2008, Cor. A.2], [Krause, 2015, Cor. 4.4]). Krull-Schmidt categories constitute a particularly nice class of examples of idempotent complete categories. In such a category, every object decomposes, essentially uniquely, into a finite direct sum of indecomposable objects with local endomorphism rings. Splitting of idempotents is often a crucial standing assumption when approaching representation theory of finite-dimensional algebras from a categorical or geometrical perspective (see e.g. [Atiyah, 1956, Auslander, 1974, Gabriel, Roiter, 1997, Haugland, 2021, Haugland, 2022, Jørgensen, 2022, Krause, 2015]). Furthermore, a generalisation of the Krull-Remak-Schmidt property was given by Azumaya [Azumaya, 1948, Thm. 1], which has since been used in topological data analysis in the study of persistence homology (see e.g. [Botnan, Crawley-Boevey, 2020]).

Abelian, or more generally exact, and triangulated categories appear in various areas of mathematics, including functional analysis and mathematical physics, and are of fundamental interest in representation theory and related areas (see e.g. [Kapustin, Kreuzer, Schlesinger, 2009, Krause, 2022, Prosmans, Schneiders, 2000]). Recently, Nakaoka–Palu introduced extriangulated categories as a simultaneous generalisation of exact and triangulated categories [Nakaoka, Palu, 2019], and showed that extension-closed subcategories of triangulated categories, which may fail to be triangulated subcategories, carry an extriangulated structure. Herschend-Liu-Nakaoka [Herschend, Liu, Nakaoka, 2021] then introduced n-exangulated categories as a higher-dimensional analogue of extriangulated categories in the context of higher homological algebra. An n-exangulated category for an integer  $n \geq 1$  is a triplet  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  consisting of an additive category  $\mathcal{C}$ , a biadditive functor  $\mathbb{E} \colon \mathcal{C}^{\text{op}} \times \mathcal{C} \to \mathsf{Ab}$  (where  $\mathsf{Ab}$  denotes the category of abelian groups), and a realisation  $\mathfrak{s}$ of E. Note that a category is 1-exangulated if and only if it is extriangulated [Herschend, Liu, Nakaoka, 2021, Prop. 4.3. Important classes of examples of n-exangulated categories for higher n include n-exact categories [Jasso, 2016] and (n+2)-angulated categories [Geiss, Keller, Oppermann, 2013].

Suppose that  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  is an n-exangulated category. For each pair of objects  $A, C \in \mathcal{C}$ , elements of  $\mathbb{E}(C, A)$  are called  $\mathbb{E}$ -extensions. The category of extensions associated to  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$ , denoted by  $\mathbb{E}$ -Ext $(\mathcal{C})$ , has all  $\mathbb{E}$ -extensions as its objects, and the morphisms are morphisms of  $\mathbb{E}$ -extensions; see Subsection 4.1. In a previous article, the authors showed that this category can be equipped with a natural exact structure  $\mathcal{X}_{\mathbb{E}}$ , giving rise to an exact category  $(\mathbb{E}$ -Ext $(\mathcal{C}), \mathcal{X}_{\mathbb{E}})$ ; see [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Prop. 3.2]. Moreover, we demonstrated that  $\mathbb{E}$ -Ext $(\mathcal{C})$  encodes important structural information. As an example, this perspective leads to a full characterisation of n-exangulated functors between n-exangulated categories; see [Bennett-Tennenhaus,

Haugland, Sandøy, Shah, 2023, Thm. A]. In the present paper, we improve the understanding of the relationship between an n-exangulated category and its associated category of extensions by studying the splitting of idempotents. Note that our results in Section 4, and in particular Proposition 1.1 and Theorem 1.2 below, hold more generally for any pair  $(\mathcal{C}, \mathbb{E})$  consisting of an additive category  $\mathcal{C}$  and a biadditive functor  $\mathbb{E}: \mathcal{C}^{op} \times \mathcal{C} \to \mathsf{Ab}$ .

Proposition 1.1 asserts that the splitting of idempotents in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) is inherited from  $\mathcal{C}$ . This is our first main result, and it plays an important role in the paper and is a key step in the formulation of Theorem 1.3.

1.1. PROPOSITION. [See Proposition 4.2] If C is idempotent complete, then  $\mathbb{E}$ -Ext(C) is also idempotent complete.

As a consequence of Proposition 1.1, we obtain that given certain finiteness assumptions on  $\mathcal{C}$ , the Krull–Remak–Schmidt property for  $\mathcal{C}$  implies the same property for  $\mathbb{E}$ -Ext( $\mathcal{C}$ ); see Corollary 4.4, cf. [Dräxler, Reiten, Smalø, Solberg, 1999, p. 670], [Gabriel, Nazarova, Roĭter, Sergeĭchuk, 1993, p. 335].

Idempotent completion is a procedure that has been seen to preserve homological structures. On the one hand, an important result of Balmer–Schlichting [Balmer, Schlichting, 2001] is that the idempotent completion of a triangulated category has a canonical triangulated structure. On the other hand, Bühler [Bühler, 2010] showed that the idempotent completion of an exact category is again exact. These results were unified to the realm of extriangulated categories by Msapato [Msapato, 2022]. More generally, it is shown in [Klapproth, Msapato, Shah, 2022] that if  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  is an n-exangulated category, then the idempotent completion  $\widetilde{\mathcal{C}}$  of  $\mathcal{C}$  admits an n-exangulated structure  $(\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \widetilde{\mathfrak{s}})$ ; see Section 3.

Our second main result, given as Theorem 1.2 below, demonstrates that idempotent completions and extension categories are compatible constructions. More precisely, the category obtained by first taking the idempotent completion and then considering its category of extensions is equivalent to first passing to the extension category and then taking the idempotent completion.

1.2. THEOREM. [See Theorem 4.9] The category  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ) is equivalent to the idempotent completion of the category  $\mathbb{E}$ -Ext( $\mathcal{C}$ ).

Proposition 1.1 and Theorem 1.2 are both used in order to obtain the 2-category-theoretic result Theorem 1.3, which builds a bridge between the 2-categorical framework established in [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023] and the results on the idempotent completion of an n-exangulated category from [Klapproth, Msapato, Shah, 2022]. To discuss a 2-category of n-exangulated categories, we use notions of morphisms between n-exangulated categories and of morphisms between such morphisms. Structure-preserving functors between n-exangulated categories as introduced in [Bennett-Tennenhaus, Shah, 2021] are known as n-exangulated functors. We viewed the theory of n-exangulated categories from a 2-categorical perspective in [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023] by defining n-exangulated natural transformations between n-exangulated functors and establishing the 2-category n-exang of small n-exangulated categories [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Cor. 4.15]. Furthermore,

we constructed a 2-functor  $\ : n$ -exang  $\ \to \$ exact to the category of small exact categories [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Thm. D], which sends a 0-cell  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  in n-exang to the 0-cell  $(\mathbb{E} \operatorname{-Ext}(\mathcal{C}), \mathcal{X}_{\mathbb{E}})$  in exact; see Definition 5.1. A consequence of Proposition 1.1 is that  $\ : \text{restricts}$  to a 2-functor  $\ : \text{IC-} n$ -exang  $\ \to \text{IC-exact}$  from the 2-category of small idempotent complete n-exangulated categories to the 2-category of small idempotent complete exact categories. The last observation needed in order to state Theorem 1.3 is that taking idempotent completions yields 2-functors  $\ : \text{exact} \ \to \text{IC-exact}$  and  $\ : n$ -exang  $\ \to \text{IC-} n$ -exang; see Theorem 2.8 and Theorem 3.11, respectively.

## 1.3. Theorem. [See Corollary 5.4] Consider the diagram

$$\begin{array}{ccc} n \operatorname{-exang} & & \xrightarrow{\diamondsuit} & \operatorname{exact} \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & \\ & & & & & & & & & & & & & \\ & & & & & & & & & & & & & \\ & & & & & & & & & & & & \\ & & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$

of 2-categories and 2-functors. There is a 2-natural transformation  $\widetilde{\Leftrightarrow} \Longrightarrow \Diamond \Leftrightarrow$  consisting of exact equivalences.

Similar results as above hold also for weak idempotent completions; see Section 6. We remark that even though Proposition 6.4 is an expected analogue of Proposition 1.1 in this setup, the method of proof is different and relies on a previous result of the authors from [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023].

1.4. Remark. We note that Theorem 1.3 follows from a more general result, namely Theorem 5.2, in which no smallness assumption is required. In this article the term 'category' does not require the collections of morphisms to form sets. In other words, the categories we consider need not be *locally small*. Just as explained in [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Rem. 4.13], the reason for the restriction to small categories in Theorem 1.3 (and indeed in this introduction entirely) is to be able to use the terminology of 2-categories and 2-functors in a way that is consistent with the existing literature.

STRUCTURE OF THE PAPER. In Section 2 we recall the construction of the idempotent completion of an exact category and use this to establish the 2-functor  $\heartsuit$  from Theorem 1.3. Analogously, the 2-functor  $\clubsuit$  is defined in Section 3 using the idempotent completion of an n-exangulated category in the sense of [Klapproth, Msapato, Shah, 2022]. In Section 4 we recall how to form the category of extensions, and prove Proposition 1.1 and Theorem 1.2. In Section 5 we present the definition of the 2-functor  $\diamondsuit$  from [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023] and show how the main results of the previous sections culminate in Theorem 1.3. Section 6 concerns the weak idempotent completion.

CONVENTIONS AND NOTATION. Throughout this paper, let  $n \geq 1$  denote a positive integer. Given objects X and Y in a category C, we write C(X,Y) for the collection of morphisms from X to Y in C. Functors are always assumed to be covariant. We let Ab denote the category of abelian groups.

# 2. Idempotent completion of exact categories yields a 2-functor

The aim for this section is to explicitly relate the construction of the idempotent completion of an exact category to a 2-categorical framework, establishing the 2-functor ♥ which is part of Theorem 1.3 in Section 1. We start by following Bühler [Bühler, 2010, Sec. 6] in recalling the idempotent completion (or Karoubi envelope). We also refer to Borceux [Borceux, 1994].

Throughout the section, let  $\mathcal{C}$  denote an additive category. An *idempotent* in  $\mathcal{C}$  is a morphism  $e: X \to X$  for some object  $X \in \mathcal{C}$  satisfying  $e^2 = e$ . Splitting of idempotents, as defined below, plays a central role in this article.

2.1. DEFINITION. (See [Borceux, 1994, Defs. 6.5.1, 6.5.3].) An idempotent  $e: X \to X$  in  $\mathcal{C}$  splits if there exist morphisms  $r: X \to Y$  and  $s: Y \to X$  such that sr = e and  $rs = \mathrm{id}_Y$ . The category  $\mathcal{C}$  is idempotent complete, or has split idempotents, if each idempotent in  $\mathcal{C}$  splits.

Even though the additive category  $\mathcal{C}$  need not have split idempotents, it can always be embedded into an idempotent complete category. This is due to Karoubi [Karoubi, 1968, Sec. 1.2].

2.2. DEFINITION. (See [Bühler, 2010, Rem. 6.3, Def. 6.4].) Define a category  $\widetilde{\mathcal{C}}$  as follows. The objects of  $\widetilde{\mathcal{C}}$  are pairs (X,e) for each object  $X \in \mathcal{C}$  and each idempotent  $e \in \operatorname{End}_{\mathcal{C}}(X)$ . Given objects  $(X,e_X)$  and  $(Y,e_Y)$  in  $\widetilde{\mathcal{C}}$ , the collection  $\widetilde{\mathcal{C}}((X,e_X),(Y,e_Y))$  of morphisms from  $(X,e_X)$  to  $(Y,e_Y)$  consists of triplets  $(e_Y,f,e_X)$  such that  $f \in \mathcal{C}(X,Y)$  satisfies  $fe_X = f = e_Y f$ . The composition of

$$(e_Y, f, e_X) \in \widetilde{\mathcal{C}}((X, e_X), (Y, e_Y))$$
 and  $(e_Z, g, e_Y) \in \widetilde{\mathcal{C}}((Y, e_Y), (Z, e_Z))$ 

is given by

$$(e_Z,g,e_Y)\circ (e_Y,f,e_X)\coloneqq (e_Z,gf,e_X).$$

It is clear that this composition is associative. The identity  $id_{(X,e)}$  of  $(X,e) \in \widetilde{\mathcal{C}}$  is the morphism (e,e,e). The category  $\widetilde{\mathcal{C}}$  is called the idempotent completion of  $\mathcal{C}$ .

The category  $\widetilde{\mathcal{C}}$  is additive with biproduct given by

$$(X, e_X) \oplus (Y, e_Y) = (X \oplus Y, e_X \oplus e_Y).$$

It is also idempotent complete; see [Bühler, 2010, Rem. 6.3] for details. There is a canonical additive inclusion functor  $\mathscr{I}_{\mathcal{C}} \colon \mathcal{C} \to \widetilde{\mathcal{C}}$  defined by setting  $\mathscr{I}_{\mathcal{C}}(X) \coloneqq (X, \mathrm{id}_X)$  for  $X \in \mathcal{C}$  and  $\mathscr{I}_{\mathcal{C}}(f) \coloneqq (\mathrm{id}_Y, f, \mathrm{id}_X)$  for  $f \in \mathcal{C}(X, Y)$ . This functor is 2-universal among additive functors from  $\mathcal{C}$  to idempotent complete categories; see [Bühler, 2010, Prop. 6.10].

Let  $\mathcal{C}^{\to \to}$  denote the category of composable morphisms in  $\mathcal{C}$ , and note that a functor  $\mathcal{C} \to \mathcal{D}$  induces a functor  $\mathcal{C}^{\to \to} \to \mathcal{D}^{\to \to}$ . Now suppose  $(\mathcal{C}, \mathcal{X})$  is an exact category. In particular, the exact structure  $\mathcal{X}$  is a collection of objects in  $\mathcal{C}^{\to \to}$ . One can define an exact structure  $\widetilde{\mathcal{X}}$  on  $\widetilde{\mathcal{C}}$  by declaring an object in  $\widetilde{\mathcal{C}}^{\to \to}$  to be in  $\widetilde{\mathcal{X}}$  if it is a direct summand of an object belonging to the image of  $\mathcal{X}$  under the functor  $\mathcal{C}^{\to \to} \to \widetilde{\mathcal{C}}^{\to \to}$  induced by  $\mathscr{I}_{\mathcal{C}} \colon \mathcal{C} \to \widetilde{\mathcal{C}}$ .

2.3. PROPOSITION. (See [Bühler, 2010, Rem. 6.3, Prop. 6.13].) The pair  $(\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}})$  forms an exact category, and  $\mathscr{I}_{\mathcal{C}}: (\mathcal{C}, \mathcal{X}) \to (\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}})$  is a fully faithful exact functor that reflects exactness.

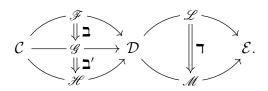
Let  $\mathscr{F}: \mathcal{C} \to \mathcal{D}$  be an additive functor. Following [Bühler, 2010, Rem. 6.6], there is an induced additive functor  $\widetilde{\mathscr{F}}: \widetilde{\mathcal{C}} \to \widetilde{\mathcal{D}}$  given by

$$\widetilde{\mathscr{F}}(X,e)\coloneqq (\mathscr{F}X,\mathscr{F}e) \text{ and } \widetilde{\mathscr{F}}(e_Y,f,e_X)\coloneqq (\mathscr{F}e_Y,\mathscr{F}f,\mathscr{F}e_X). \tag{1}$$

We refer to  $\widetilde{\mathscr{F}}$  as the *completion* of  $\mathscr{F}$ . If  $\mathscr{F}: (\mathcal{C}, \mathcal{X}) \to (\mathcal{D}, \mathcal{Y})$  is an exact functor, then  $\widetilde{\mathscr{F}}: (\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}}) \to (\widetilde{\mathcal{D}}, \widetilde{\mathcal{Y}})$  is also exact; see the proof of [Bühler, 2010, Prop. 6.13].

In order to view the constructions above in a 2-categorical framework, we recall some terminology. A 2-category is a collection of 0-cells, 1-cells and 2-cells satisfying certain axioms; see e.g. [MacLane, 1998, p. 273] or [Johnson, Yau, 2021, Sec. 2.3]. One should think of 0-cells, 1-cells and 2-cells as objects, morphisms between objects and morphisms between morphisms, respectively. A 2-category has two notions of composition of 2-cells: vertical and horizontal. Using the setup below, we recall these notions in the case of natural transformations. We use the Hebrew letters  $\Box$  (beth) and  $\Box$  (daleth) for natural transformations of additive functors.

2.4. Setup. For the rest of this section, we consider additive categories  $\mathcal{C}, \mathcal{D}, \mathcal{E}$ , additive functors  $\mathcal{F}, \mathcal{G}, \mathcal{H} \colon \mathcal{C} \to \mathcal{D}$  and  $\mathcal{L}, \mathcal{M} \colon \mathcal{D} \to \mathcal{E}$ , and natural transformations  $\beth \colon \mathscr{F} \Rightarrow \mathscr{G}$ ,  $\beth' \colon \mathscr{G} \Rightarrow \mathscr{H}$  and  $\beth \colon \mathscr{L} \Rightarrow \mathscr{M}$  as indicated in the diagram



2.5. DEFINITION. (See [MacLane, 1998, pp. 40, 42].) The vertical composition of  $\square$  and  $\square'$  is the natural transformation  $\square' \circ_v \square : \mathscr{F} \Rightarrow \mathscr{H}$  given by  $(\square' \circ_v \square) := \square'_X \square_X$  for each  $X \in \mathcal{C}$ . The horizontal composition of  $\square$  and  $\square$  is the natural transformation  $\square \circ_h \square$  of the form  $\mathscr{LF} \Rightarrow \mathscr{MG}$  defined by  $(\square \circ_h \square)_X := \square_{\mathscr{G}X} \circ (\mathscr{L}\square_X)$  for each  $X \in \mathcal{C}$ .

As described in [Bühler, 2010, Rem. 6.7], the natural transformation  $\Xi \colon \mathscr{F} \Rightarrow \mathscr{G}$  induces a natural transformation  $\widetilde{\Xi} \colon \widetilde{\mathscr{F}} \Rightarrow \widetilde{\mathscr{G}}$  as follows. Given  $(X,e) \in \widetilde{\mathcal{C}}$ , there are the morphisms  $(\mathrm{id}_X, e, e) \colon (X, e) \to (X, \mathrm{id}_X)$  and  $(e, e, \mathrm{id}_X) \colon (X, \mathrm{id}_X) \to (X, e)$ . Put

$$\widetilde{\beth}_{(X,e)} \coloneqq \widetilde{\mathscr{G}}(e,e,\mathrm{id}_X) \circ (\mathrm{id}_{\mathscr{G}X},\beth_X,\mathrm{id}_{\mathscr{F}X}) \circ \widetilde{\mathscr{F}}(\mathrm{id}_X,e,e) = (\mathscr{G}e,(\mathscr{G}e)\,\beth_X\,\mathscr{F}e,\mathscr{F}e)$$

as indicated in the diagram

$$\begin{split} \widetilde{\mathscr{F}}(X,e) & \longrightarrow \widetilde{\mathfrak{G}}(X,e) \\ \widetilde{\mathscr{F}}(\mathrm{id}_X,e,e) \Big\downarrow & \qquad \qquad & \qquad \qquad \cap \widetilde{\mathscr{G}}(X,e) \\ (\mathscr{F}X,\mathrm{id}_{\mathscr{F}X}) & \xrightarrow{} & (\mathscr{G}X,\mathrm{id}_{\mathscr{G}X}). \end{split}$$

It is straightforward to check that  $\widetilde{\mathbf{D}}$  is natural. We refer to  $\widetilde{\mathbf{D}}$  as the *completion* of  $\mathbf{D}$ .

2.6. NOTATION. We write Exact for the collection of 0-cells, 1-cells and 2-cells consisting of exact categories, exact functors and natural transformations, respectively.

For  $i \in \{0,1,2\}$ , we denote the collection of i-cells by  $\mathsf{Exact}_i$ . Given 0-cells  $(\mathcal{C},\mathcal{X})$  and  $(\mathcal{D},\mathcal{Y})$ , there is a category  $\mathsf{Exact}((\mathcal{C},\mathcal{X}),(\mathcal{D},\mathcal{Y}))$  with 1-cells of the form  $(\mathcal{C},\mathcal{X}) \to (\mathcal{D},\mathcal{Y})$  as objects, and where morphisms and composition are given by 2-cells and vertical composition. We note that for an object  $\mathscr{F}$  in  $\mathsf{Exact}((\mathcal{C},\mathcal{X}),(\mathcal{D},\mathcal{Y}))$ , its identity morphism is the identity natural transformation  $\mathrm{id}_{\mathscr{F}} \colon \mathscr{F} \Rightarrow \mathscr{F}$  given by  $\{(\mathrm{id}_{\mathscr{F}})_X \coloneqq \mathrm{id}_{\mathscr{F}X}\}_{X \in \mathcal{C}}$ . There is a 2-category exact determined by the 0-cells in  $\mathsf{Exact}$  which are small categories. We furthermore write IC-Exact and IC-exact when restricting to idempotent complete 0-cells in  $\mathsf{Exact}$  and exact, respectively, and note that also IC-exact is a 2-category.

A 2-functor between two 2-categories is an assignment of *i*-cells in the domain category to *i*-cells in the codomain category for  $i \in \{1, 2, 3\}$ , satisfying some compatibility conditions; see e.g. [MacLane, 1998, p. 278] or [Johnson, Yau, 2021, Prop. 4.1.8]. We now begin to construct the 2-functor  $\heartsuit$  used in Theorem 1.3 in Section 1.

2.7. DEFINITION. Let  $\heartsuit = (\heartsuit_0, \heartsuit_1, \heartsuit_2)$ : Exact  $\to$  IC-Exact be defined by the assignments  $\heartsuit_i$ : Exact<sub>i</sub>  $\to$  IC-Exact<sub>i</sub>, where:

$$\heartsuit_0(\mathcal{C},\mathcal{X}) \coloneqq (\widetilde{\mathcal{C}},\widetilde{\mathcal{X}}), \quad \heartsuit_1(\mathscr{F}) \coloneqq \widetilde{\mathscr{F}}, \quad \heartsuit_2(\mathbf{\Delta}) \coloneqq \widetilde{\mathbf{\Delta}}.$$

If one ignores the set-theoretic issue described in Remark 1.4, then the theorem below should be interpreted as showing that  $\heartsuit$ : Exact  $\to$  IC-Exact is a 2-functor.

- 2.8. Theorem. The following statements hold for the assignments  $\heartsuit_0$ ,  $\heartsuit_1$  and  $\heartsuit_2$ .
  - $(i) \ \ \mathit{The pair} \ (\heartsuit_0, \heartsuit_1) \ \mathit{defines} \ \mathit{a functor} \ \mathsf{Exact} \to \mathsf{IC\text{-}Exact}.$
  - (ii) The pair  $(\heartsuit_1, \heartsuit_2)$  defines a functor  $\mathsf{Exact}((\mathcal{C}, \mathcal{X}), (\mathcal{D}, \mathcal{Y})) \to \mathsf{IC-Exact}((\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}}), (\widetilde{\mathcal{D}}, \widetilde{\mathcal{Y}}))$  whenever  $(\mathcal{C}, \mathcal{X})$  and  $(\mathcal{D}, \mathcal{Y})$  are exact categories.
- (iii) The assignment  $\heartsuit_2$  preserves horizontal composition.

In particular, restricting  $\heartsuit$  to small categories yields a 2-functor exact  $\rightarrow$  IC-exact.

PROOF. It follows from the discussions above that the assignments are well-defined. Checking functoriality in (i) is straightforward. The assignment  $\heartsuit_2$  is compatible with vertical and horizontal composition by [Bühler, 2010, Rem. 6.8], and checking  $\widetilde{\operatorname{id}_{\mathscr{F}}} = \operatorname{id}_{\widetilde{\mathscr{F}}}$  is straightforward, so (ii) and (iii) hold.

# 3. Idempotent completion of n-exangulated categories yields a 2-functor

In this section we describe how taking the idempotent completion of an n-exangulated category in the sense of [Klapproth, Msapato, Shah, 2022] relates to a 2-categorical framework. This is done by constructing the 2-functor  $\clubsuit$  from Theorem 1.3 in Section 1. We start by giving an overview of relevant notions and constructions.

Given an additive category  $\mathcal{C}$  and a biadditive functor  $\mathbb{E} \colon \mathcal{C}^{\text{op}} \times \mathcal{C} \to \mathsf{Ab}$ , an element  $\alpha \in \mathbb{E}(C,A)$  is called an  $\mathbb{E}$ -extension. A morphism of  $\mathbb{E}$ -extensions from  $\alpha \in \mathbb{E}(C,A)$  to  $\beta \in \mathbb{E}(D,B)$  is a pair (a,c) of morphisms  $a \colon A \to B$  and  $c \colon C \to D$  in  $\mathcal{C}$  such that

$$\mathbb{E}(C, a)(\alpha) = \mathbb{E}(c, A)(\beta).$$

Recall from [Herschend, Liu, Nakaoka, 2021, Sec. 2] that an *n-exangulated category*  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  consists of

- (i) an additive category  $\mathcal{C}$ ,
- (ii) a biadditive functor  $\mathbb{E} \colon \mathcal{C}^{op} \times \mathcal{C} \to \mathsf{Ab}$ , and
- (iii) an exact realisation  $\mathfrak{s}$  of  $\mathbb{E}$  in the sense of [Herschend, Liu, Nakaoka, 2021, Def. 2.22],

such that axioms (EA1), (EA2) and (EA2<sup>op</sup>) stated in [Herschend, Liu, Nakaoka, 2021, Def. 2.32] are satisfied.

The realisation  $\mathfrak{s}$  associates to each  $\mathbb{E}$ -extension  $\alpha \in \mathbb{E}(C,A)$  a certain homotopy class

$$\mathfrak{s}(\alpha) = [X_{\bullet}] = [X_0 \longrightarrow X_1 \longrightarrow \cdots \longrightarrow X_{n+1}]$$

of an (n+2)-term complex  $X_{\bullet}$  in  $\mathcal{C}$  with  $X_0=A$  and  $X_{n+1}=C$ . The pair  $\langle X_{\bullet},\alpha\rangle$  is then called a *(distinguished) n-exangle.* 

A morphism  $\langle X_{\bullet}, \alpha \rangle \to \langle Y_{\bullet}, \beta \rangle$  of n-exangles is given by a morphism

$$(f_0,\ldots,f_{n+1})\colon X_{\bullet}\to Y_{\bullet}$$

of complexes such that  $(f_0, f_{n+1}): \alpha \to \beta$  is a morphism of  $\mathbb{E}$ -extensions. In this case, the tuple  $(f_0, \ldots, f_{n+1})$  is said to be a *lift* of  $(f_0, f_{n+1})$ .

Suppose throughout this section that  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  and  $(\mathcal{D}, \mathbb{F}, \mathfrak{t})$  are n-exangulated categories. An additive functor  $\mathscr{F} \colon \mathcal{C} \to \mathcal{D}$  induces a functor  $\mathscr{F}_{\mathsf{C}} \colon \mathsf{C}_{\mathcal{C}} \to \mathsf{C}_{\mathcal{D}}$  between the associated categories of complexes. One can define a new biadditive functor

$$\mathbb{F}(\mathscr{F}^{\text{op}}-,\mathscr{F}-)\colon \mathcal{C}^{\text{op}}\times\mathcal{C}\to\mathsf{Ab},$$

which we will denote by  $\mathbb{F}(\mathscr{F}-,\mathscr{F}-)$ .

3.1. DEFINITION. (See [Bennett-Tennenhaus, Shah, 2021, Def. 2.32].) Let  $\mathscr{F}: \mathcal{C} \to \mathcal{D}$  be an additive functor and suppose there is a natural transformation

$$\Gamma = \{\Gamma_{(C,A)}\}_{(C,A) \in \mathcal{C}^{op} \times C} \colon \mathbb{E}(-,-) \Longrightarrow \mathbb{F}(\mathscr{F}-,\mathscr{F}-).$$

We call the pair  $(\mathscr{F}, \Gamma)$ :  $(\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\mathcal{D}, \mathbb{F}, \mathfrak{t})$  an n-exangulated functor if, for all  $A, C \in \mathcal{C}$  and each  $\alpha \in \mathbb{E}(C, A)$ , we have that  $\mathfrak{s}(\alpha) = [X_{\bullet}]$  implies  $\mathfrak{t}(\Gamma_{(C, A)}(\alpha)) = [\mathscr{F}_{\mathsf{C}}X_{\bullet}]$ .

It was demonstrated in [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Def. 3.18, Lem. 3.19] that one can compose *n*-exangulated functors as follows. Suppose

$$(\mathscr{F},\Gamma)\colon (\mathcal{C},\mathbb{E},\mathfrak{s})\to (\mathcal{D},\mathbb{F},\mathfrak{t}) \text{ and } (\mathscr{L},\Phi)\colon (\mathcal{D},\mathbb{F},\mathfrak{t})\to (\mathcal{E},\mathbb{G},\mathfrak{u})$$

are n-exangulated functors between n-exangulated categories. The composite is the n-exangulated functor  $(\mathscr{L}, \Phi) \circ (\mathscr{F}, \Gamma) \coloneqq (\mathscr{LF}, \Phi_{\mathscr{F} \times \mathscr{F}} \circ_v \Gamma)$ , where  $\Phi_{\mathscr{F} \times \mathscr{F}}$  is the natural transformation

$$\Phi_{\mathscr{F}\times\mathscr{F}}=\{\Phi_{(\mathscr{F}C,\mathscr{F}A)}\}_{(C,A)\in\mathcal{C}^{\mathrm{op}}\times\mathcal{C}}\colon \mathbb{F}(\mathscr{F}-,\mathscr{F}-)\Longrightarrow \mathbb{G}(\mathscr{LF}-,\mathscr{LF}-).$$

For  $\Gamma$  as above and for  $\alpha \in \mathbb{E}(C,A)$ , we will usually write  $\Gamma(\alpha)$  instead of  $\Gamma_{(C,A)}(\alpha)$ . Furthermore, we use the simplified notation  $a_{\mathbb{E}}\alpha$  (resp.  $d^{\mathbb{E}}\alpha$ ) for the  $\mathbb{E}$ -extension

$$\mathbb{E}(C,a)(\alpha) \in \mathbb{E}(C,B)$$
 (resp.  $\mathbb{E}(d,A)(\alpha) \in \mathbb{E}(D,A))$ 

for morphisms  $a: A \to B$  and  $d: D \to C$  in C.

As proved in [Klapproth, Msapato, Shah, 2022], the idempotent completion  $\widetilde{\mathcal{C}}$  of an n-exangulated category  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  admits a canonical n-exangulated structure. We use the notation  $(\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \widetilde{\mathfrak{s}})$  for the n-exangulated category obtained from this construction, and recall the definition of the biadditive functor  $\widetilde{\mathbb{E}} \colon \widetilde{\mathcal{C}}^{\text{op}} \times \widetilde{\mathcal{C}} \to \mathsf{Ab}$  and the realisation  $\widetilde{\mathfrak{s}}$  of  $\widetilde{\mathbb{E}}$  below. In the case n = 1, the construction was given by Msapato [Msapato, 2022].

3.2. Definition. (See [Klapproth, Msapato, Shah, 2022, Def. 4.4].) For objects  $(A, e_A)$  and  $(C, e_C)$  from  $\widetilde{C}$ , we let

$$\widetilde{\mathbb{E}}((C,e_C),(A,e_A)) \coloneqq \left\{ \; (e_A,\alpha,e_C) \; \middle| \; \alpha \in \mathbb{E}(C,A) \; \, and \; (e_A)_{\mathbb{E}}\alpha = \alpha = (e_C)^{\mathbb{E}}\alpha \; \right\}.$$

For morphisms  $(e_B, a, e_A)$ :  $(A, e_A) \to (B, e_B)$  and  $(e_C, d, e_D)$ :  $(D, e_D) \to (C, e_C)$  in  $\widetilde{\mathcal{C}}$  we put

$$\begin{split} \widetilde{\mathbb{E}}((e_C,d,e_D),(e_B,a,e_A)) \colon \widetilde{\mathbb{E}}((C,e_C),(A,e_A)) &\longrightarrow \widetilde{\mathbb{E}}((D,e_D),(B,e_B)) \\ (e_A,\alpha,e_C) &\longmapsto (e_B,\mathbb{E}(d,a)(\alpha),e_D). \end{split}$$

The set  $\widetilde{\mathbb{E}}((C, e_C), (A, e_A))$  has an abelian group structure given by

$$(e_A, \alpha, e_C) + (e_A, \alpha', e_C) := (e_A, \alpha + \alpha', e_C),$$

and Definition 3.2 indeed gives a biadditive functor  $\widetilde{\mathbb{E}} : \widetilde{\mathcal{C}}^{op} \times \widetilde{\mathcal{C}} \to \mathsf{Ab}$ ; see [Klapproth, Msapato, Shah, 2022, Rem. 4.5].

Given a complex  $X_{\bullet}$  in  $\mathcal{C}$  and an idempotent morphism  $e_{\bullet}\colon X_{\bullet} \to X_{\bullet}$  of complexes, we follow [Klapproth, Msapato, Shah, 2022, Def. 4.15] and the discussion immediately thereafter in using the notation  $(X_{\bullet}, e_{\bullet})$  to denote the complex

$$(X_0, e_0) \xrightarrow{(e_1, e_1 d_0, e_0)} (X_1, e_1) \xrightarrow{(e_2, e_2 d_1, e_1)} \cdots \longrightarrow (X_n, e_n) \xrightarrow{(e_{n+1}, e_{n+1} d_n, e_n)} (X_{n+1}, e_{n+1})$$

in  $\widetilde{\mathcal{C}}$ , where the maps  $d_i \colon X_i \to X_{i+1}$  are the differentials of the complex  $X_{\bullet}$ . The realisation  $\widetilde{\mathfrak{s}}$  of  $\widetilde{\mathbb{E}}$  is then defined as follows.

3.3. DEFINITION. (See [Klapproth, Msapato, Shah, 2022, Def. 4.20].) Let  $(e_A, \alpha, e_C)$  be an arbitrary element of  $\widetilde{\mathbb{E}}((C, e_C), (A, e_A))$ . Since  $\alpha \in \mathbb{E}(C, A)$ , one may choose  $X_{\bullet}$  so that

$$\mathfrak{s}(\alpha) = [X_{\bullet}] = [A \longrightarrow X_1 \longrightarrow \cdots \longrightarrow X_n \longrightarrow C].$$

One may also lift  $(e_A, e_C)$ :  $\alpha \to \alpha$  to an idempotent endomorphism  $e_{\bullet}$  of the n-exangle  $\langle X_{\bullet}, \alpha \rangle$  by [Klapproth, Msapato, Shah, 2022, Cor. 4.13]. Using this, we define  $\tilde{\mathfrak{s}}$  by setting  $\tilde{\mathfrak{s}}(e_A, \alpha, e_C) := [(X_{\bullet}, e_{\bullet})]$ .

To see that the assignment  $\tilde{\mathfrak{s}}$  from Definition 3.3 does not rely on the choices involved, see [Klapproth, Msapato, Shah, 2022, Rem. 4.21]. By [Klapproth, Msapato, Shah, 2022, Thm. A], the triplet  $(\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \tilde{\mathfrak{s}})$  is an *n*-exangulated category and the inclusion

$$(\mathscr{I}_{\mathcal{C}}, \Gamma_{\mathcal{C}}) \colon (\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \widetilde{\mathfrak{s}})$$

is an n-exangulated functor, where the natural transformation

$$\Gamma_{\mathcal{C}} \colon \mathbb{E}(-,-) \Rightarrow \widetilde{\mathbb{E}}(\mathscr{I}_{\mathcal{C}}-,\mathscr{I}_{\mathcal{C}}-)$$

is given by  $\alpha \mapsto (\mathrm{id}_A, \alpha, \mathrm{id}_C)$  for  $\alpha \in \mathbb{E}(C, A)$ .

Now suppose  $(\mathscr{F},\Gamma)$ :  $(\mathcal{C},\mathbb{E},\mathfrak{s})\to (\mathcal{D},\mathbb{F},\mathfrak{t})$  is an n-exangulated functor. Recall that there is an induced additive functor  $\widetilde{\mathscr{F}}:\widetilde{\mathcal{C}}\to\widetilde{\mathcal{D}}$  as defined in 1. Our next aim is to show that one obtains an n-exangulated functor  $(\widetilde{\mathscr{F}},\widetilde{\Gamma}):(\widetilde{\mathcal{C}},\widetilde{\mathbb{E}},\mathfrak{s})\to (\widetilde{\mathcal{D}},\widetilde{\mathbb{F}},\widetilde{\mathfrak{t}})$  between the idempotent completions. We first need to define a natural transformation

$$\widetilde{\Gamma}\colon \widetilde{\mathbb{E}}(-,-)\Rightarrow \widetilde{\mathbb{F}}(\widetilde{\mathscr{F}}-,\widetilde{\mathscr{F}}-).$$

 $3.4. \ \ \text{Definition.} \ \textit{Set} \ \widetilde{\Gamma} \coloneqq \left\{ \ \widetilde{\Gamma}_{((C,e_C),(A,e_A))} \ \right\}_{((C,e_C),(A,e_A)) \in \widetilde{\mathcal{C}}^{\text{op}} \times \widetilde{\mathcal{C}}}, \ \textit{where}$ 

$$\widetilde{\Gamma}_{((C,e_C),(A,e_A))} \colon \widetilde{\mathbb{E}}((C,e_C),(A,e_A)) \longrightarrow \widetilde{\mathbb{F}}(\widetilde{\mathscr{F}}(C,e_C),\widetilde{\mathscr{F}}(A,e_A))$$

$$(e_A,\alpha,e_C) \longmapsto (\mathscr{F}e_A,\Gamma(\alpha),\mathscr{F}e_C).$$

Note that  $(\mathscr{F}e_A, \Gamma(\alpha), \mathscr{F}e_C)$  indeed lies in  $\widetilde{\mathbb{F}}(\widetilde{\mathscr{F}}(C, e_C), \widetilde{\mathscr{F}}(A, e_A))$ , because naturality of  $\Gamma$  yields  $(\mathscr{F}e_A)_{\mathbb{F}}\Gamma(\alpha) = \Gamma((e_A)_{\mathbb{E}}\alpha) = \Gamma(\alpha)$  and  $(\mathscr{F}e_C)^{\mathbb{F}}\Gamma(\alpha) = \Gamma((e_C)^{\mathbb{E}}\alpha) = \Gamma(\alpha)$ .

- 3.5. WARNING. Since  $\Gamma \colon \mathbb{E}(-,-) \Rightarrow \mathbb{F}(\mathscr{F},-,\mathscr{F}-)$  is a natural transformation, one might wonder if the definition of  $\widetilde{\Gamma}$  above agrees with the description of the completion of a natural transformation of additive functors from Section 2. However, the *biadditive* functors  $\mathbb{E}$  and  $\mathbb{F}(\mathscr{F}-,\mathscr{F}-)$  are not necessarily *additive* functors  $\mathcal{C}^{\text{op}} \times \mathcal{C} \to \mathsf{Ab}$ , so we cannot form the completions of them as in Section 2. Thus, when we use notation of the form  $\widetilde{\Gamma}$  for a natural transformation of biadditive functors, it always refers to the construction from Definition 3.4.
- 3.6. LEMMA. The pair  $(\widetilde{\mathscr{F}}, \widetilde{\Gamma})$  is an n-exangulated functor  $(\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \widetilde{\mathfrak{s}}) \to (\widetilde{\mathcal{D}}, \widetilde{\mathbb{F}}, \widetilde{\mathfrak{t}})$ .

PROOF. Given a pair of objects  $(A,e_A),(C,e_C)\in\widetilde{\mathcal{C}},$  the map  $\widetilde{\Gamma}_{((C,e_C),(A,e_A))}$  from Definition 3.4 is a homomorphism of abelian groups as  $\Gamma_{(C,A)}$  is one. It follows from the naturality of  $\Gamma$  that  $\widetilde{\Gamma}$  is a natural transformation  $\widetilde{\mathbb{E}}(-,-)\Rightarrow\widetilde{\mathbb{F}}(\widetilde{\mathscr{F}}-,\widetilde{\mathscr{F}}-).$ 

Consider now an  $\widetilde{\mathbb{E}}$ -extension  $(e_A, \alpha, e_C) \in \widetilde{\mathbb{E}}((C, e_C), (A, e_A))$ . Following the definition of  $\widetilde{\mathfrak{s}}$ , we have  $\widetilde{\mathfrak{s}}(e_A, \alpha, e_C) = [(X_{\bullet}, e_{\bullet})]$ , where  $\mathfrak{s}(\alpha) = [X_{\bullet}]$  and the idempotent  $e_{\bullet} \colon X_{\bullet} \to X_{\bullet}$  is a lift of  $(e_A, e_C) \colon \alpha \to \alpha$ . Notice that  $\mathfrak{t}(\Gamma(\alpha)) = [\mathscr{F}_{\mathsf{C}} X_{\bullet}]$  as  $(\mathscr{F}, \Gamma)$  is n-exangulated. Moreover  $\mathscr{F}_{\mathsf{C}} e_{\bullet} \colon \mathscr{F}_{\mathsf{C}} X_{\bullet} \to \mathscr{F}_{\mathsf{C}} X_{\bullet}$  is an idempotent lifting  $(\mathscr{F} e_A, \mathscr{F} e_C) \colon \Gamma(\alpha) \to \Gamma(\alpha)$ . We hence see that  $\widetilde{\mathfrak{t}}(\Gamma(e_A, \alpha, e_C))$  is given by the class

$$[\ (\mathscr{F}A,\mathscr{F}e_A) \xrightarrow{\widetilde{\mathscr{F}}(e_1,e_1d_0,e_A)} (\mathscr{F}X_1,\mathscr{F}e_1) \xrightarrow{\widetilde{\mathscr{F}}(e_2,e_2d_1,e_1)} \cdots \xrightarrow{\widetilde{\mathscr{F}}(e_C,e_Cd_n,e_n)} (\mathscr{F}C,\mathscr{F}e_C)\ ],$$

which is  $[\widetilde{\mathscr{F}}_{\mathsf{C}}(X_{\bullet}, e_{\bullet})]$ . This finishes the proof.

To consider n-exangulated categories as 0-cells in a 2-category, we use the notion of a morphism between n-exangulated functors. This is captured by the following definition.

3.7. DEFINITION. (See [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Def. 4.1].) Suppose that  $(\mathscr{F}, \Gamma)$  and  $(\mathscr{G}, \Lambda)$  are n-exangulated functors of the form  $(\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\mathcal{D}, \mathbb{F}, \mathfrak{t})$ . An n-exangulated natural transformation  $(\mathscr{F}, \Gamma) \Rightarrow (\mathscr{G}, \Lambda)$  is a natural transformation  $\Sigma: \mathscr{F} \Rightarrow \mathscr{G}$  of additive functors such that, for all  $A, C \in \mathcal{C}$  and each  $\alpha \in \mathbb{E}(C, A)$ , the pair  $(\Sigma_A, \Sigma_C)$  satisfies

$$(\mathbf{\Delta}_A)_{\mathbb{F}}\Gamma(\alpha) = (\mathbf{\Delta}_C)^{\mathbb{F}}\Lambda(\alpha). \tag{2}$$

Notice that equation 2 means that  $(\beth_A, \beth_C)$  is a morphism  $\Gamma(\alpha) \to \Lambda(\alpha)$  of  $\mathbb{F}$ -extensions. For a natural transformation  $\beth \colon \mathscr{F} \Rightarrow \mathscr{G}$  of additive functors  $\mathscr{F}, \mathscr{G} \colon \mathscr{C} \to \mathscr{D}$ , recall that the completion  $\widecheck{\beth} \colon \widetilde{\mathscr{F}} \Rightarrow \widetilde{\mathscr{G}}$  is given by  $\widecheck{\beth}_{(X,e)} = (\mathscr{G}e, (\mathscr{G}e) \beth_X \mathscr{F}e, \mathscr{F}e)$  for  $(X,e) \in \widetilde{\mathscr{C}}$ . The proposition below shows that the completion of an n-exangulated natural transformation is again n-exangulated.

3.8. Lemma. Suppose  $\beth$ :  $(\mathscr{F}, \Gamma) \Rightarrow (\mathscr{G}, \Lambda)$  is n-exangulated. Then  $\widetilde{\beth}$  is an n-exangulated natural transformation  $(\widetilde{\mathscr{F}}, \widetilde{\Gamma}) \Rightarrow (\widetilde{\mathscr{G}}, \widetilde{\Lambda})$ .

PROOF. Consider an  $\widetilde{\mathbb{E}}$ -extension  $(e_A, \alpha, e_C) \in \widetilde{\mathbb{E}}((C, e_C), (A, e_A))$ . Using that  $(e_A)_{\mathbb{E}}\alpha = \alpha$ , we get  $(\mathscr{F}e_A)_{\mathbb{F}}\Gamma(\alpha) = \Gamma(\alpha)$  by the naturality of  $\Gamma$ . Similarly  $\Lambda(\alpha) = (\mathscr{G}e_C)^{\mathbb{F}}\Lambda(\alpha)$ . Since  $\square$  is n-exangulated, we have  $(\square_A)_{\mathbb{F}}\Gamma(\alpha) = (\square_C)^{\mathbb{F}}\Lambda(\alpha)$ , while naturality of  $\square$  yields the equality  $(\mathscr{G}e_C)\square_C = \square_C\mathscr{F}e_C$ . Combining these observations gives

$$\begin{split} (\mathscr{G}e_A)_{\mathbb{F}}(\mathbf{\Xi}_A)_{\mathbb{F}}(\mathscr{F}e_A)_{\mathbb{F}}\Gamma(\alpha) &= (\mathscr{G}e_A)_{\mathbb{F}}(\mathbf{\Xi}_A)_{\mathbb{F}}\Gamma(\alpha) = (\mathscr{G}e_A)_{\mathbb{F}}(\mathbf{\Xi}_C)^{\mathbb{F}}\Lambda(\alpha) = (\mathbf{\Xi}_C)^{\mathbb{F}}(\mathscr{G}e_A)_{\mathbb{F}}\Lambda(\alpha) \\ &= (\mathbf{\Xi}_C)^{\mathbb{F}}\Lambda(\alpha) = (\mathbf{\Xi}_C)^{\mathbb{F}}(\mathscr{G}e_C)^{\mathbb{F}}\Lambda(\alpha) = (\mathbf{\Xi}_C\mathscr{F}e_C)^{\mathbb{F}}\Lambda(\alpha) = (\mathbf{\Xi}_C\mathscr{F}e_C)^{\mathbb{F}}(\mathscr{G}e_C)^{\mathbb{F}}\Lambda(\alpha). \end{split}$$

Hence, we have that

$$\begin{split} (\widetilde{\mathbf{\Delta}}_{(A,e_A)})_{\widetilde{\mathbb{F}}} \widetilde{\Gamma}(e_A,\alpha,e_C) &= (\mathcal{G}e_A,(\mathcal{G}e_A) \, \mathbf{\Delta}_A \, \mathcal{F}e_A, \mathcal{F}e_A)_{\widetilde{\mathbb{F}}}(\mathcal{F}e_A,\Gamma(\alpha),\mathcal{F}e_C) \quad \text{(definition)} \\ &= (\mathcal{G}e_A,(\mathcal{G}e_A)_{\mathbb{F}}(\mathbf{\Delta}_A)_{\mathbb{F}}(\mathcal{F}e_A)_{\mathbb{F}}\Gamma(\alpha),\mathcal{F}e_C) \quad \text{(Definition 3.2)} \\ &= (\mathcal{G}e_A,((\mathcal{G}e_C) \, \mathbf{\Delta}_C \, \mathcal{F}e_C)^{\mathbb{F}}\Lambda(\alpha),\mathcal{F}e_C) \quad \text{(as above)} \\ &= (\widetilde{\mathbf{\Delta}}_{(C,e_C)})^{\widetilde{\mathbb{F}}} \, \widetilde{\Lambda}(e_A,\alpha,e_C) \quad \text{(definition)}, \end{split}$$

as required.

We now introduce n-exangulated analogues of the collections described in Notation 2.6.

3.9. NOTATION. See [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Not. 4.14]. We write n- Exang for the collection of 0-cells, 1-cells and 2-cells consisting of n-exangulated categories, n-exangulated functors and n-exangulated natural transformations between these functors, respectively. Restricting 0-cells in n- Exang to small n-exangulated categories yields the 2-category n- exang; see [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Cor. 4.15]. We furthermore write IC- n- Exang and IC- n- exang when only considering idempotent complete 0-cells in n- Exang and n- exang, respectively, and note that also IC- n- exang is a 2-category. As before, we use a subscript  $i \in \{0,1,2\}$  to denote i-cells in the collections described above.

We conclude this section by constructing the 2-functor  $\clubsuit$  used in Theorem 1.3 in Section 1.

3.10. DEFINITION. Let  $\clubsuit = (\clubsuit_0, \clubsuit_1, \clubsuit_2)$ : n-Examg  $\to$  IC-n-Examg be defined by the assignments  $\clubsuit_i$ : n-Examg  $\to$  IC-n-Examg, where:

$$\clubsuit_0(\mathcal{C},\mathbb{E},\mathfrak{s}) \coloneqq (\widetilde{\mathcal{C}},\widetilde{\mathbb{E}},\widetilde{\mathfrak{s}}), \quad \clubsuit_1(\mathscr{F},\Gamma) \coloneqq (\widetilde{\mathscr{F}},\widetilde{\Gamma}), \quad \clubsuit_2(\beth) \coloneqq \widetilde{\beth}.$$

The result below is an n-exangulated analogue of Theorem 2.8.

- 3.11. Theorem. The following statements hold for the assignments  $\clubsuit_0$ ,  $\clubsuit_1$  and  $\clubsuit_2$ .
  - (i) The pair  $(\clubsuit_0, \clubsuit_1)$  defines a functor n Exang  $\to$  IC- n Exang.
  - (ii) The pair  $(\clubsuit_1, \clubsuit_2)$  defines a functor n  $\mathsf{Exang}((\mathcal{C}, \mathbb{E}, \mathfrak{s}), (\mathcal{D}, \mathbb{F}, \mathfrak{t})) \to \mathsf{IC}$  n  $\mathsf{Exang}((\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \widetilde{\mathfrak{s}}), (\widetilde{\mathcal{D}}, \widetilde{\mathbb{F}}, \widetilde{\mathfrak{t}}))$  whenever  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  and  $(\mathcal{D}, \mathbb{F}, \mathfrak{t})$  are n-exangulated categories.
- (iii) The assignment  $\clubsuit_2$  preserves horizontal composition.

In particular, restricting  $\clubsuit$  to small categories yields a 2-functor n - exang  $\to$  IC- n - exang.

PROOF. It follows from the discussion and results above, in particular Lemmas 3.6 and 3.8, that the assignments are well-defined. The rest of this proof is similar to the proof of Theorem 2.8. Functoriality in (i) is straightforward to check. For (iii), note that n-exangulated natural transformations are closed under horizontal composition by [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Prop. 4.8], and then again apply [Bühler, 2010, Rem. 6.8]. Lastly, for (ii), notice first that n-Exang( $(\mathcal{C}, \mathbb{E}, \mathfrak{s}), (\mathcal{D}, \mathbb{F}, \mathfrak{t})$ ), and hence also IC-n-Exang( $(\mathcal{C}, \mathbb{E}, \mathfrak{s}), (\mathcal{D}, \mathbb{F}, \mathfrak{t})$ ), is indeed a category by [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Prop. 4.12]. Part (ii) then follows from [Bühler, 2010, Rem. 6.8] and noting that, for an n-exangulated functor  $(\mathcal{F}, \Gamma)$ , the identity  $\mathrm{id}_{(\mathcal{F},\Gamma)}$  is just  $\mathrm{id}_{\mathcal{F}}$  (see [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Def. 4.3]).

# 4. The category of extensions and idempotent completion

We assume throughout Section 4 that C is an additive category and that

$$\mathbb{E}\colon \mathcal{C}^{ ext{op}} imes \mathcal{C} o \mathsf{Ab}$$

is a biadditive functor. Note in particular that any n-exangulated category  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  gives rise to such a pair  $(\mathcal{C}, \mathbb{E})$  by ignoring the realisation  $\mathfrak{s}$ . In Subsection 4.1 we recall the definition of the category  $\mathbb{E}\text{-Ext}(\mathcal{C})$  of extensions associated to  $(\mathcal{C}, \mathbb{E})$ , before proving Proposition 1.1 from Section 1. Building on this result, our ultimate goal is to show that, for the biadditive functor  $\widetilde{\mathbb{E}}:\widetilde{\mathcal{C}}^{\text{op}}\times\widetilde{\mathcal{C}}\to \mathsf{Ab}$  from Definition 3.2, the category  $\widetilde{\mathbb{E}}\text{-Ext}(\widetilde{\mathcal{C}})$  of extensions of the idempotent completion is equivalent to the idempotent completion  $\overline{\mathbb{E}}\text{-Ext}(\widetilde{\mathcal{C}})$  of  $\mathbb{E}\text{-Ext}(\mathcal{C})$ . These two categories are described explicitly in Subsection 4.5 and Subsection 4.7, respectively, culminating in a proof of Theorem 1.2 from Section 1. In Example 4.10 we provide an algebraic example exhibiting an application of Theorem 4.9.

4.1. THE CATEGORY OF EXTENSIONS. The category of extensions associated to  $(\mathcal{C}, \mathbb{E})$  is denoted by  $\mathbb{E}$ -Ext $(\mathcal{C})$ . The objects of  $\mathbb{E}$ -Ext $(\mathcal{C})$  are  $\mathbb{E}$ -extensions, and the morphisms are morphisms of  $\mathbb{E}$ -extensions. Recall from Section 3 that this means that an object is an element  $\alpha \in \mathbb{E}(C,A)$  for some objects  $A,C \in \mathcal{C}$ , while a morphism from  $\alpha \in \mathbb{E}(C,A)$  to  $\beta \in \mathbb{E}(D,B)$  is given by a pair (a,c) of morphisms  $a:A \to B$  and  $c:C \to D$  in  $\mathcal{C}$  satisfying  $a_{\mathbb{E}}\alpha = c^{\mathbb{E}}\beta$ .

As shown in [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Prop. 3.2], one can define an exact structure  $\mathcal{X}_{\mathbb{E}}$  on  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) as follows. Let  $\alpha \in \mathbb{E}(C, A)$ ,  $\beta \in \mathbb{E}(D, B)$  and  $\gamma \in \mathbb{E}(G, E)$  be objects in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ). A sequence

$$\alpha \xrightarrow{(a,c)} \beta \xrightarrow{(b,d)} \gamma$$

of composable morphisms in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) lies in the class  $\mathcal{X}_{\mathbb{E}}$  if and only if the morphisms a and c in  $\mathcal{C}$  are both sections with  $b = \operatorname{coker} a$  and  $d = \operatorname{coker} c$ .

By definition, a category is idempotent complete provided any idempotent endomorphism splits. Note also that a morphism of  $\mathbb{E}$ -extensions  $(e_A, e_C)$ :  $\alpha \to \alpha$  for  $\alpha \in \mathbb{E}(C, A)$  is

an idempotent in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) if and only if both  $e_A \colon A \to A$  and  $e_C \colon C \to C$  are idempotents in  $\mathcal{C}$ . Hence, Proposition 1.1 follows from Proposition 4.2. The authors are grateful to Dixy Msapato for pointing out [Msapato, 2022, Lem. 3.23], which motivated the proof of the result below.

4.2. PROPOSITION. Let  $\alpha \in \mathbb{E}(C,A)$  and suppose  $(e_A,e_C) \in \operatorname{End}_{\mathbb{E}\operatorname{-Ext}(\mathcal{C})}(\alpha)$  is an idempotent. Then  $e_A$  and  $e_C$  split in  $\mathcal{C}$  if and only if  $(e_A,e_C)$  splits in  $\mathbb{E}\operatorname{-Ext}(\mathcal{C})$ .

PROOF. ( $\Rightarrow$ ) Assume that  $e_A$  and  $e_C$  split in  $\mathcal{C}$ . As  $e_A$  splits, there exist morphisms  $r\colon A\to B$  and  $s\colon B\to A$  such that  $sr=e_A$  and  $rs=\mathrm{id}_B$ . Similarly, there exist  $u\colon C\to D$  and  $v\colon D\to C$  with  $vu=e_C$  and  $uv=\mathrm{id}_D$ , because  $e_C$  splits. Consider the  $\mathbb{E}$ -extension  $r_{\mathbb{E}}v^{\mathbb{E}}\alpha\in\mathbb{E}(D,B)$ . We see that  $(s,v)\colon r_{\mathbb{E}}v^{\mathbb{E}}\alpha\to\alpha$  is a morphism in  $\mathbb{E}$ -Ext $(\mathcal{C})$ , as

$$s_{\mathbb{E}}(r_{\mathbb{E}}v^{\mathbb{E}}\alpha) = v^{\mathbb{E}}(sr)_{\mathbb{E}}\alpha = v^{\mathbb{E}}(e_A)_{\mathbb{E}}\alpha = v^{\mathbb{E}}(e_C)^{\mathbb{E}}\alpha = v^{\mathbb{E}}(vu)^{\mathbb{E}}\alpha = (vuv)^{\mathbb{E}}\alpha = v^{\mathbb{E}}\alpha.$$

Analogously, one can show that  $(r,u): \alpha \to r_{\mathbb{E}} v^{\mathbb{E}} \alpha$  is a morphism of  $\mathbb{E}$ -extensions. Notice that  $(s,v)\circ (r,u)=(e_A,e_C)$  and  $(r,u)\circ (s,v)=(\mathrm{id}_B,\mathrm{id}_D)$ , which is the identity on  $r_{\mathbb{E}} v^{\mathbb{E}} \alpha$ . This gives a splitting of  $(e_A,e_C)$ , as required.

 $(\Leftarrow)$  If  $(e_A, e_C)$  splits, then there exist  $\beta \in \mathbb{E}(D, B)$  and morphisms  $(r, u) : \alpha \to \beta$  and  $(s, v) : \beta \to \alpha$  in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) such that  $(s, v) \circ (r, u) = (e_A, e_C)$  and  $(r, u) \circ (s, v) = (\mathrm{id}_B, \mathrm{id}_D)$ . These equations yield splittings of  $e_A$  and  $e_C$  in  $\mathcal{C}$ .

We finish this subsection by deducing Corollary 4.4, showing that given certain assumptions on  $\mathcal{C}$ , the Krull–Remak–Schmidt property for  $\mathcal{C}$  implies the same property for  $\mathbb{E}$ -Ext( $\mathcal{C}$ ). In order to see this, we first recall some terminology.

For the rest of Subsection 4.1, let R be a commutative ring. The additive category  $\mathcal{C}$  is said to be R-linear if  $\mathcal{C}(X,Y)$  is an R-module for all  $X,Y\in\mathcal{C}$ , and we have

$$(\lambda g)f = \lambda(gf) = g(\lambda f)$$

for all  $\lambda \in R$  and all composable morphisms f and g in C. When C is R-linear, the bifunctor  $\mathbb{E}$  is R-bilinear provided that each abelian group  $\mathbb{E}(C, A)$  has the structure of an R-module, and we have  $\mathbb{E}(\lambda c, a) = \lambda \mathbb{E}(c, a) = \mathbb{E}(c, \lambda a)$  for all  $\lambda \in R$  and any morphisms a and c in C. Recall that an R-linear category C is called Hom-finite (over R) if each R-module C(X, Y) has finite length (see e.g. [Krause, 2015, Sec. 5]).

4.3. PROPOSITION. If C is R-linear and  $\mathbb{E}$  is R-bilinear, then  $\mathbb{E}$ -Ext(C) is also R-linear. If in addition C is Hom-finite, then so is  $\mathbb{E}$ -Ext(C).

PROOF. Fix objects  $\alpha$  and  $\beta$  in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ), say where  $\alpha \in \mathbb{E}(C, A)$  and  $\beta \in \mathbb{E}(D, B)$ . Given a morphism  $(a, c) : \alpha \to \beta$  in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ), we have

$$(\lambda a)_{\mathbb{R}} \alpha = \mathbb{E}(\mathrm{id}_C, \lambda a)(\alpha) = \lambda \mathbb{E}(\mathrm{id}_C, a)(\alpha) = \lambda \mathbb{E}(c, \mathrm{id}_A)(\beta) = \mathbb{E}(\lambda c, \mathrm{id}_A)(\beta) = (\lambda c)^{\mathbb{E}} \beta$$

as  $\mathbb{E}$  is R-bilinear. This means that  $(\lambda a, \lambda c) : \alpha \to \beta$  is a morphism in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ), and we take this to be the action of  $\lambda$  on (a, c). Using that  $\mathcal{C}$  is R-linear, it is straightforward to

check that the Hom-sets of  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) are R-modules under this multiplication. Consider another morphism  $(b,d): \beta \to \gamma$  in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ). Since  $\mathcal{C}$  is R-linear, we have

$$(b,d)(\lambda a,\lambda c) = (b(\lambda a),d(\lambda c)) = (\lambda (ba),\lambda (dc)) = ((\lambda b)a,(\lambda d)c) = (\lambda b,\lambda d)(a,c),$$

which is the action of  $\lambda$  on  $(b,d) \circ (a,c)$ . This proves that  $\mathbb{E}\text{-Ext}(\mathcal{C})$  is R-linear.

The arguments above show that the collection of morphisms  $\alpha \to \beta$  in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) defines an R-submodule of the direct sum  $\mathcal{C}(A,B) \oplus \mathcal{C}(C,D)$ . The length of this submodule is bounded above by the sum of the lengths of  $\mathcal{C}(A,B)$  and  $\mathcal{C}(C,D)$ , proving the second assertion.

Recall that C is said to be a Krull-Schmidt category if every object decomposes into a finite direct sum of objects with local endomorphism rings.

4.4. COROLLARY. Suppose that C is R-linear, Hom-finite and Krull-Schmidt, and that  $\mathbb{E}$  is R-bilinear. Then  $\mathbb{E}$ -Ext(C) is also R-linear, Hom-finite and Krull-Schmidt.

PROOF. Note that an *R*-linear Hom-finite category is Krull-Schmidt if and only if it is idempotent complete; see e.g. [Chen, Ye, Zhang, 2008, Cor. A.2] or [Shah, 2023, Thm. 6.1]. Using this, the result now follows by combining Proposition 1.1 and Proposition 4.3.

4.5. THE CATEGORY OF EXTENSIONS OF THE IDEMPOTENT COMPLETION. As recalled in Definition 3.2, there is a biadditive functor  $\widetilde{\mathbb{E}} \colon \widetilde{\mathcal{C}}^{op} \times \widetilde{\mathcal{C}} \to \mathsf{Ab}$  defined canonically from  $\mathbb{E}$  where  $\widetilde{\mathcal{C}}$  is the idempotent completion of  $\mathcal{C}$ . As in Subsection 4.1 we can consider the category  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ) of extensions associated to ( $\widetilde{\mathcal{C}}$ ,  $\widetilde{\mathbb{E}}$ ). We give an explicit description of  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ) and the exact structure  $\mathcal{X}_{\widetilde{\mathbb{E}}}$  below.

Objects: The objects of  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ) are of the form  $(e_A, \alpha, e_C) \in \widetilde{\mathbb{E}}((C, e_C), (A, e_A))$  for  $(C, e_C)$  and  $(A, e_A)$  in  $\widetilde{\mathcal{C}}$ . In particular, the morphisms  $e_A \colon A \to A$  and  $e_C \colon C \to C$  in  $\mathcal{C}$  are idempotents, and  $\alpha \in \mathbb{E}(C, A)$  is an  $\mathbb{E}$ -extension satisfying  $(e_A)_{\mathbb{E}}\alpha = \alpha = (e_C)^{\mathbb{E}}\alpha$ .

<u>Morphisms</u>: A morphism  $(e_A, \alpha, e_C) \to (e_B, \beta, e_D)$  in  $\widetilde{\mathbb{E}}$ -Ext $(\widetilde{\mathcal{C}})$  is a pair

$$((e_B, a, e_A), (e_D, c, e_C)),$$

where  $(e_B, a, e_A)$ :  $(A, e_A) \to (B, e_B)$  and  $(e_D, c, e_C)$ :  $(C, e_C) \to (D, e_D)$  are morphisms in  $\widetilde{\mathcal{C}}$  and  $(e_B, a, e_A)_{\widetilde{\mathbb{E}}}(e_A, \alpha, e_C) = (e_D, c, e_B)^{\widetilde{\mathbb{E}}}(e_B, \beta, e_D)$ . This means that (a, c):  $\alpha \to \beta$  is a morphism of  $\mathbb{E}$ -extensions,  $ae_A = a = e_B a$  and  $ce_C = c = e_D c$ .

<u>Composition</u>: Composition in  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ) is defined component-wise. Explicitly, the composition of  $((e_B, a, e_A), (e_D, c, e_C))$  and  $((e_E, b, e_B), (e_G, d, e_D))$  is  $((e_E, ba, e_A), (e_G, dc, e_C))$ .

<u>Identity morphisms</u>: The identity on  $(e_A, \alpha, e_C)$  in  $\widetilde{\mathbb{E}}$ -Ext $(\widetilde{\mathcal{C}})$  is  $((e_A, e_A, e_A), (e_C, e_C, e_C))$ .

<u>Preadditivity</u>: The addition of morphisms is component-wise. Explicitly, the addition of  $((e_B, a, e_A), (e_D, c, e_C))$  and  $((e_B, a', e_A), (e_D, c', e_C))$  is  $((e_B, a + a', e_A), (e_D, c + c', e_C))$ .

Exact structure: The collection  $\mathcal{X}_{\widetilde{\mathbb{E}}}$  consists of kernel-cokernel pairs

$$(e_A,\alpha,e_C) \xrightarrow{((e_B,a,e_A),(e_D,c,e_C))} (e_B,\beta,e_D) \xrightarrow{((e_E,b,e_B),(e_G,d,e_D))} (e_E,\gamma,e_G)$$

in  $\widetilde{\mathbb{E}}$ -Ext $(\widetilde{\mathcal{C}})$  such that  $(e_B, a, e_A)$  and  $(e_D, c, e_C)$  are sections with

$$(e_E, b, e_B) = \operatorname{coker}(e_B, a, e_A)$$
 and  $(e_G, d, e_D) = \operatorname{coker}(e_D, c, e_C)$ .

These conditions are equivalent to the following sequences

being split exact in  $\widetilde{\mathcal{C}}$ .

We have an immediate corollary of Proposition 1.1.

- 4.6. COROLLARY. The exact category  $(\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}),\mathcal{X}_{\widetilde{\mathbb{E}}})$  is idempotent complete.
- 4.7. THE IDEMPOTENT COMPLETION OF THE CATEGORY OF EXTENSIONS. In contrast to what is done in Subsection 4.5, we may first consider the category of extensions associated to  $(\mathcal{C}, \mathbb{E})$ , which forms part of an exact category  $(\mathbb{E}\operatorname{-Ext}(\mathcal{C}), \mathcal{X}_{\mathbb{E}})$ . Then we may take the idempotent completion, resulting in an idempotent complete exact category that we denote by  $(\mathbb{E}\operatorname{-Ext}(\mathcal{C}), \widetilde{\mathcal{X}}_{\mathbb{E}})$ ; see Proposition 2.3. We proceed with an explicit description of  $\mathbb{E}\operatorname{-Ext}(\mathcal{C})$  and the exact structure  $\widetilde{\mathcal{X}}_{\mathbb{E}}$ .

Objects: The objects of  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) are of the form  $(\alpha, (e_A, e_C))$ , where  $\alpha \in \mathbb{E}(C, A)$  and  $(e_A, e_C)$ :  $\alpha \to \alpha$  is an idempotent morphism of  $\mathbb{E}$ -extensions. This means that  $e_A \colon A \to A$  and  $e_C \colon C \to C$  are idempotents in  $\mathcal{C}$  and that  $(e_A)_{\mathbb{E}}\alpha = (e_C)^{\mathbb{E}}\alpha$ .

<u>Morphisms</u>: A morphism  $(\alpha, (e_A, e_C)) \to (\beta, (e_B, e_D))$  in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) is given by a triple  $((e_B, e_D), (a, c), (e_A, e_C))$ , where  $(a, c) : \alpha \to \beta$  is a morphism of  $\mathbb{E}$ -extensions and we have  $(a, c)(e_A, e_C) = (a, c) = (e_B, e_D)(a, c)$ .

Composition: The composition of two composable morphisms  $((e_B, e_D), (a, c), (e_A, e_C))$  and  $((e_E, e_G), (b, d), (e_B, e_D))$  in E-Ext(C) is  $((e_E, e_G), (ba, dc), (e_A, e_C))$ .

<u>Identity morphisms</u>: The identity on  $(\alpha, (e_A, e_C))$  in  $\widetilde{\mathbb{E}}$ -Ext $(\mathcal{C})$  is

$$((e_A, e_C), (e_A, e_C), (e_A, e_C)).$$

Preadditivity: Let  $((e_B, e_D), (a, c), (e_A, e_C))$  and  $((e_B, e_D), (a', c'), (e_A, e_C))$  be morphisms from  $(\alpha, (e_A, e_C))$  to  $(\beta, (e_B, e_D))$  in  $\mathbb{E}$ -Ext $(\mathcal{C})$ . The addition of these two morphisms is given by  $((e_B, e_D), (a + a', c + c'), (e_A, e_C))$ .

Exact structure: The elements in  $\widetilde{\mathcal{X}}_{\mathbb{E}}$  are direct summands of images of elements in  $\mathcal{X}_{\mathbb{E}}$  under the functor  $\mathscr{I}_{\mathbb{E}\text{-Ext}(\mathcal{C})} \colon \mathbb{E}\text{-Ext}(\mathcal{C}) \to \widetilde{\mathbb{E}\text{-Ext}(\mathcal{C})}$ ; see the discussion before Proposition

2.3. In other words, they are direct summands of kernel-cokernel pairs in  $\widetilde{\mathbb{E}}$ - $\widetilde{\mathrm{Ext}(\mathcal{C})}$  of the form

$$(\alpha, (\mathrm{id}_A, \mathrm{id}_C)) \xrightarrow{p} (\beta, (\mathrm{id}_B, \mathrm{id}_D)) \xrightarrow{q} (\gamma, (\mathrm{id}_E, \mathrm{id}_G)),$$

where

$$p := ((\mathrm{id}_B, \mathrm{id}_D), (a, c), (\mathrm{id}_A, \mathrm{id}_C)), \quad q := ((\mathrm{id}_E, \mathrm{id}_G), (b, d), (\mathrm{id}_B, \mathrm{id}_D))$$

and  $\alpha \xrightarrow{(a,c)} \beta \xrightarrow{(b,d)} \gamma$  is an element of  $\mathcal{X}_{\mathbb{R}}$ .

4.8. WARNING. Even if  $(\alpha, (e_A, e_C))$  lies in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ), the  $\mathbb{E}$ -extension  $\alpha \in \mathbb{E}(C, A)$  does not necessarily satisfy  $(e_A)_{\mathbb{E}}\alpha = \alpha$  or  $(e_C)^{\mathbb{E}}\alpha = \alpha$ . For example, if  $\alpha \neq 0$ , then  $(\alpha, (0, 0))$  is an object in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ), but  $0_{\mathbb{E}}\alpha = 0^{\mathbb{E}}\alpha = 0 \neq \alpha$ . In particular, this means that the objects of  $\mathbb{E}$ -Ext( $\mathcal{C}$ ) are not canonically in one-to-one correspondence with those of  $\mathbb{E}$ -Ext( $\mathcal{C}$ ).

Warning 4.8 tells us that we cannot expect the categories  $\widetilde{\mathbb{E}}$ -Ext $(\widetilde{\mathcal{C}})$  and  $\widetilde{\mathbb{E}}$ -Ext $(\widetilde{\mathcal{C}})$  to be *isomorphic* in general. Despite this, we prove that they are always equivalent. In the following, we use the Hebrew letters  $\Sigma$  (mem),  $\Sigma$  (shin) and  $\Sigma$  (tsadi). Note that the functor  $\Sigma_{(\mathcal{C},\mathbb{E})}$  that we define in the proof of Theorem 4.9 below will be used to construct a natural transformation in Section 5, which is the reason for our choice of notation.

4.9. THEOREM. The exact categories  $(\widetilde{\mathbb{E}} \operatorname{-Ext}(\widetilde{\mathcal{C}}), \mathcal{X}_{\widetilde{\mathbb{E}}})$  and  $(\widetilde{\mathbb{E}} \operatorname{-Ext}(\widetilde{\mathcal{C}}), \widetilde{\mathcal{X}}_{\mathbb{E}})$  are equivalent.

PROOF. We establish an exact functor  $\mathcal{U}_{(\mathcal{C},\mathbb{E})} \colon (\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}),\mathcal{X}_{\widetilde{\mathbb{E}}}) \to (\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}),\widetilde{\mathcal{X}}_{\mathbb{E}})$  and an exact quasi-inverse  $\mathfrak{Z}_{(\mathcal{C},\mathbb{E})} \colon (\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}),\widetilde{\mathcal{X}}_{\mathbb{E}}) \to (\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}),\mathcal{X}_{\widetilde{\mathbb{E}}})$ .

Define  $\boldsymbol{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}$  by

$$\mathbf{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}(e_A,\alpha,e_C)\coloneqq (\alpha,(e_A,e_C))$$

on objects and

$$\mathbf{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}((e_B, a, e_A), (e_D, c, e_C)) := ((e_B, e_D), (a, c), (e_A, e_C))$$

on morphisms. By our explicit description of  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ) and  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ) in Subsection 4.5 and Subsection 4.7, respectively, we see that  $\mathcal{U}_{(\mathcal{C},\mathbb{E})}$  is a well-defined additive functor.

Define  $\mathbf{Y}_{(\mathcal{C},\mathbb{E})}$  by

$$\mathbf{Y}_{(\mathcal{C},\mathbb{E})}(\alpha,(e_A,e_C))\coloneqq(e_A,(e_A)_{\mathbb{E}}\alpha,e_C)$$

on objects and

$$\mathbf{2}_{(\mathcal{C},\mathbb{E})}((e_B,e_D),(a,c),(e_A,e_C))\coloneqq ((e_B,a,e_A),(e_D,c,e_C))$$

on morphisms. Note that  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$  is well-defined on objects, since

$$(e_C)^{\mathbb{E}}(e_A)_{\mathbb{E}}\alpha = (e_A)_{\mathbb{E}}(e_C)^{\mathbb{E}}\alpha = (e_A)_{\mathbb{E}}(e_A)_{\mathbb{E}}\alpha = (e_A)_{\mathbb{E}}\alpha.$$

It is straightforward to check that  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$  is well-defined on morphisms, and that it is an additive functor.

The composite  $\mathbf{\Sigma}_{(\mathcal{C},\mathbb{E})} \circ \mathbf{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}$  is the identity functor  $\mathrm{id}_{\widetilde{\mathbb{E}}\text{-}\mathrm{Ext}(\widetilde{\mathcal{C}})}$  of  $\widetilde{\mathbb{E}}\text{-}\mathrm{Ext}(\widetilde{\mathcal{C}})$ , as  $(e_A)_{\mathbb{E}}\alpha = \alpha$  whenever  $(e_A, \alpha, e_C) \in \widetilde{\mathbb{E}}\text{-}\mathrm{Ext}(\widetilde{\mathcal{C}})$ . For each object  $(\alpha, (e_A, e_C))$  in  $\widetilde{\mathbb{E}\text{-}\mathrm{Ext}(\mathcal{C})}$ , set

$$\mathbf{\tilde{D}}_{(\alpha,(e_A,e_C))} \coloneqq ((e_A,e_C),(e_A,e_C),(e_A,e_C)) \colon (\alpha,(e_A,e_C)) \to ((e_A)_{\mathbb{E}}\alpha,(e_A,e_C)).$$

This is an isomorphism in  $\widetilde{\mathbb{E}}$ -Ext $(\mathcal{C})$ . Checking that

$$\mathbf{\tilde{D}} \coloneqq \left\{ \ \mathbf{\tilde{D}}_{(\alpha,(e_A,e_C))} \ \right\}_{(\alpha,(e_A,e_C)) \in \widetilde{\mathbb{E}}\text{-}\mathrm{Ext}(\widetilde{\mathcal{C}})}} : \mathrm{id}_{\widetilde{\mathbb{E}}\text{-}\mathrm{Ext}(\widetilde{\mathcal{C}})} \Longrightarrow \mathbf{\tilde{U}}_{(\mathcal{C},\mathbb{E})} \circ \mathbf{\tilde{Z}}_{(\mathcal{C},\mathbb{E})}$$

is natural is straightforward.

It remains to show that  $\mathcal{U}_{(\mathcal{C},\mathbb{E})}$  and  $\mathcal{Z}_{(\mathcal{C},\mathbb{E})}$  are exact functors. Recall that the direct sum of two objects  $(X, e_X)$  and  $(Y, e_Y)$  in  $\widetilde{\mathcal{C}}$  is given by

$$(X, e_X) \oplus (Y, e_Y) = (X \oplus Y, e_X \oplus e_Y) = \left(X \oplus Y, \begin{pmatrix} e_X & 0 \\ 0 & e_Y \end{pmatrix}\right).$$

We first check that  $\boldsymbol{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}$  is exact. Let

$$(e_A,\alpha,e_C) \xrightarrow{-((e_B,a,e_A),(e_D,c,e_C))} (e_B,\beta,e_D) \xrightarrow{-((e_E,b,e_B),(e_G,d,e_D))} (e_E,\gamma,e_G) \qquad (3)$$

be an arbitrary element of  $\mathcal{X}_{\mathbb{E}}$ . The underlying sequences of 3 are split exact in  $\widetilde{\mathcal{C}}$ , so we may without loss of generality assume that  $B = A \oplus E$ ,  $e_B = e_A \oplus e_E$ ,  $D = C \oplus G$ ,  $e_D = e_C \oplus e_G$ ,  $(a, c) = (\left(\begin{smallmatrix} e_A \\ 0 \end{smallmatrix}), \left(\begin{smallmatrix} e_C \\ 0 \end{smallmatrix})\right)$  and  $(b, d) = (\left(\begin{smallmatrix} 0 \\ e_E \end{smallmatrix}), \left(\begin{smallmatrix} 0 \\ e_G \end{smallmatrix})\right)$ . Applying  $\mathcal{U}_{(\mathcal{C}, \mathbb{E})}$  to 3 then yields the sequence

$$(\alpha, (e_A, e_C)) \xrightarrow{r} (\beta, (e_A \oplus e_E, e_C \oplus e_G)) \xrightarrow{s} (\gamma, (e_E, e_G)), \tag{4}$$

where

$$\begin{split} r &\coloneqq ((\left(\begin{smallmatrix} e_A & 0 \\ 0 & e_E \end{smallmatrix}\right), \left(\begin{smallmatrix} e_C & 0 \\ 0 & e_G \end{smallmatrix}\right)), ((\left(\begin{smallmatrix} e_A \\ 0 \end{smallmatrix}), \left(\begin{smallmatrix} e_C \\ 0 \end{smallmatrix}\right)), (e_A, e_C)), \\ s &\coloneqq ((e_E, e_G), ((\left(\begin{smallmatrix} 0 & e_E \\ 0 \end{smallmatrix}), \left(\begin{smallmatrix} 0 & e_G \\ 0 \end{smallmatrix}\right)), (\left(\begin{smallmatrix} e_A & 0 \\ 0 & e_E \\ 0 \end{smallmatrix}\right), \left(\begin{smallmatrix} e_C & 0 \\ 0 & e_G \\ 0 \end{smallmatrix}\right))). \end{split}$$

We claim that 4 is a direct summand of the sequence

$$(\alpha, (\mathrm{id}_A, \mathrm{id}_C)) \xrightarrow{t} (\beta, (\mathrm{id}_{A \oplus E}, \mathrm{id}_{C \oplus G})) \xrightarrow{u} (\gamma, (\mathrm{id}_E, \mathrm{id}_G)), \tag{5}$$

where

$$\begin{split} t &\coloneqq ((\mathrm{id}_{A \oplus E}, \mathrm{id}_{C \oplus G}), (\left(\begin{smallmatrix} \mathrm{id}_A \\ 0 \end{smallmatrix}\right), \left(\begin{smallmatrix} \mathrm{id}_C \\ 0 \end{smallmatrix}\right)), (\mathrm{id}_A, \mathrm{id}_C)), \\ u &\coloneqq ((\mathrm{id}_E, \mathrm{id}_G), (\left(\begin{smallmatrix} 0 \end{smallmatrix} \mathrm{id}_E \right), \left(\begin{smallmatrix} 0 \end{smallmatrix} \mathrm{id}_G \right)), (\mathrm{id}_{A \oplus E}, \mathrm{id}_{C \oplus G})). \end{split}$$

Notice first that  $\begin{pmatrix} id_A \\ 0 \end{pmatrix}$ ,  $\begin{pmatrix} id_C \\ 0 \end{pmatrix}$  is a morphism  $\alpha \to \beta$  of  $\mathbb{E}$ -extensions, since

$$\left(\begin{smallmatrix}\operatorname{id}_A\\0\end{smallmatrix}\right)_{\operatorname{\mathbb{E}}}\alpha=\left(\begin{smallmatrix}\operatorname{id}_A\\0\end{smallmatrix}\right)_{\operatorname{\mathbb{E}}}(e_A)_{\operatorname{\mathbb{E}}}\alpha=\left(\begin{smallmatrix}e_A\\0\end{smallmatrix}\right)_{\operatorname{\mathbb{E}}}\alpha=\left(\begin{smallmatrix}e_C\\0\end{smallmatrix}\right)^{\operatorname{\mathbb{E}}}\beta=\left(\begin{smallmatrix}\operatorname{id}_C\\0\end{smallmatrix}\right)^{\operatorname{\mathbb{E}}}\left(\begin{smallmatrix}e_C&0\\0&e_G\end{smallmatrix}\right)^{\operatorname{\mathbb{E}}}\beta=\left(\begin{smallmatrix}\operatorname{id}_C\\0\end{smallmatrix}\right)_{\operatorname{\mathbb{E}}}\beta.$$

Similarly, the pair  $((0 id_E), (0 id_G))$  is a morphism  $\beta \to \gamma$  in  $\mathbb{E}$ -Ext( $\mathcal{C}$ ). In particular, this yields that 5 is indeed a sequence in  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ). To verify that 4 is a direct summand of 5, notice that there is a section induced by the morphisms  $((id_A, id_C), (e_A, e_C), (e_A, e_C)), ((id_{A \oplus E}, id_{C \oplus G}), (e_A \oplus e_E, e_C \oplus e_G), (e_A \oplus e_E, e_C \oplus e_G))$  and  $((id_E, id_G), (e_E, e_G), (e_E, e_G))$ .

Thus, to finish the proof that  $\mathcal{U}_{(\mathcal{C},\mathbb{E})}$  is exact, it suffices to show that 5 lies in  $\widetilde{\mathcal{X}_{\mathbb{E}}}$ . For this, it is in turn enough to verify that

$$\alpha \xrightarrow{\left( \begin{pmatrix} \operatorname{id}_A \\ 0 \end{pmatrix}, \begin{pmatrix} \operatorname{id}_C \\ 0 \end{pmatrix} \right)} \beta \xrightarrow{\left( \begin{pmatrix} 0 \operatorname{id}_E \end{pmatrix}, \begin{pmatrix} 0 \operatorname{id}_G \end{pmatrix} \right)} \gamma \tag{6}$$

lies in  $\mathcal{X}_{\mathbb{E}}$ . By the arguments above, we already know that 6 is a sequence of morphisms in  $\mathbb{E}\text{-Ext}(\mathcal{C})$ . As its underlying sequences are split exact in  $\mathcal{C}$ , we have that 6 lies in  $\mathcal{X}_{\mathbb{E}}$ . We now show that  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$  is exact. Let

$$(\alpha, (e_A, e_C)) \longrightarrow (\beta, (e_B, e_D)) \longrightarrow (\gamma, (e_E, e_G)) \tag{7}$$

be a conflation in  $\widetilde{\mathcal{X}}_{\mathbb{E}}$ . Consequently, we have that 7 is a direct summand of a sequence

$$(\alpha', (\mathrm{id}_{A'}, \mathrm{id}_{C'})) \longrightarrow (\beta', (\mathrm{id}_{B'}, \mathrm{id}_{D'})) \longrightarrow (\gamma', (\mathrm{id}_{E'}, \mathrm{id}_{G'})), \tag{8}$$

which is the image under  $\mathscr{I}_{\mathbbm{E}\text{-}\mathrm{Ext}(\mathcal{C})}$  of a kernel-cokernel pair

$$\alpha' \xrightarrow{(a',c')} \beta' \xrightarrow{(b',d')} \gamma'$$
 (9)

in  $\mathcal{X}_{\mathbb{E}}$ . Apply  $\mathbf{Y}_{(\mathcal{C},\mathbb{E})}$  to 8 to obtain

$$(\mathrm{id}_{A'}, \alpha', \mathrm{id}_{C'}) \longrightarrow (\mathrm{id}_{B'}, \beta', \mathrm{id}_{D'}) \longrightarrow (\mathrm{id}_{E'}, \gamma', \mathrm{id}_{G'}). \tag{10}$$

We claim that 10 lies in  $\mathcal{X}_{\mathbb{E}}$ . Since 9 belongs to  $\mathcal{X}_{\mathbb{E}}$ , its underlying sequences are split exact in  $\mathcal{C}$ . As  $\mathscr{I}_{\mathcal{C}} \colon \mathcal{C} \to \widetilde{\mathcal{C}}$  is an additive functor, the sequences

$$\begin{split} &(A',\mathrm{id}_{A'}) \xrightarrow{\qquad (\mathrm{id}_{B'},a',\mathrm{id}_{A'})} & (B',\mathrm{id}_{B'}) \xrightarrow{\qquad (\mathrm{id}_{E'},b',\mathrm{id}_{B'})} & (E',\mathrm{id}_{E'}), \\ &(C',\mathrm{id}_{C'}) \xrightarrow{\qquad (\mathrm{id}_{D'},c',\mathrm{id}_{C'})} & (D',\mathrm{id}_{D'}) \xrightarrow{\qquad (\mathrm{id}_{G'},d',\mathrm{id}_{D'})} & (G',\mathrm{id}_{G'}) \end{split}$$

are thus split exact in  $\widetilde{\mathcal{C}}$ , and so 10 lies in  $\mathcal{X}_{\widetilde{\mathbb{R}}}$ .

Since 7 is a direct summand of 8, we know that  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$ 7 is a direct summand of  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$ 8 = 10  $\in \mathcal{X}_{\mathbb{E}}$ . Thus, by [Bühler, 2010, Cor. 2.18], we deduce that  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$ 7 belongs to  $\mathcal{X}_{\mathbb{E}}$ , and hence  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$  is an exact functor.

We finish this section by demonstrating the use of Theorem 4.9 in a concrete example.

4.10. EXAMPLE. Let R be a unital ring. For integers  $m, n \geq 0$ , let  $\mathrm{Mat}_{m,n}(R)$  denote the set of  $m \times n$  matrices which, when m, n > 0, have entries in R. Note that  $\mathrm{Mat}_{m,0}(R)$  consists of a single empty column vector of length m, and  $\mathrm{Mat}_{0,n}(R)$  consists of an empty length n row vector. By declaring the image of  $\mathrm{Mat}_{l,0}(R) \times \mathrm{Mat}_{0,n}(R) \to \mathrm{Mat}_{l,n}(R)$  to be the zero matrix, there is a function  $\mathrm{Mat}_{l,m}(R) \times \mathrm{Mat}_{m,n}(R) \to \mathrm{Mat}_{l,n}(R)$  defined for any integers  $l, m, n \geq 0$  that extends matrix multiplication. When l = 0, the codomain of this function contains a unique element as noted above. Therefore, in this case, this function has the effect of changing the length of the empty length m row vector to length n. Similarly, if n = 0, then the corresponding function changes the length m column vector to length l.

Let  $\mathcal{M}$  be the category of rectangular matrices over R, defined as follows. Objects of  $\mathcal{M}$  are rectangular matrices  $X \in \operatorname{Mat}_{m,n}(R)$  for  $m,n \geq 0$ , and a morphism from  $X \in \operatorname{Mat}_{m,n}(R)$  to  $Y \in \operatorname{Mat}_{p,q}(R)$  is defined by a pair of matrices  $(A,B) \in \operatorname{Mat}_{q,n}(R) \times \operatorname{Mat}_{p,m}(R)$  such that BX = YA. Composition is defined by component-wise matrix multiplication. The identity of an object  $X \in \operatorname{Mat}_{m,n}(R)$  is the pair  $(I_n, I_m)$  of identity matrices.

The category  $\mathcal{M}$  is preadditive, where the addition of morphisms is given componentwise. Furthermore,  $\mathcal{M}$  is in fact additive, where the direct sum  $X \oplus Y$  of  $X \in \operatorname{Mat}_{m,n}(R)$ and  $Y \in \operatorname{Mat}_{p,q}(R)$  is given by the block matrix in  $\operatorname{Mat}_{m+p,n+q}(R)$  formed by taking Xand Y in the diagonal blocks and 0 elsewhere. That is,

$$X \oplus Y = \begin{pmatrix} X & \mathbf{0} \\ \mathbf{0} & Y \end{pmatrix}.$$

If m=0, then X is an empty row and  $X \oplus Y$  is found by inserting n columns, all with entries equal to 0, to the left of Y. Likewise: if n=0, one inserts 0-rows above Y; if p=0, one inserts 0-columns to the right of X; and if q=0, one inserts 0-rows below X. The zero object of  $\mathcal{M}$  is the unique element of  $\mathrm{Mat}_{0,0}(R)$ .

For integers  $n, m \ge 0$ , recall that  $R^m \cong R^n$  as left (or, in fact, right) R-modules if and only if  $AB = I_m$  and  $BA = I_n$  for some

$$(A, B) \in \mathrm{Mat}_{m,n}(R) \times \mathrm{Mat}_{n,m}(R).$$

In this case, let us write  $m \sim n$ . The ring R is said to have the *invariant basis number* (IBN) property provided that  $m \sim n$  implies m = n; see [Rotman, 2009, p. 60]. Any one-sided noetherian ring has the IBN property [Rotman, 2009, Thm. 3.24], as does any commutative ring [Rotman, 2009, Prop. 2.37]. The endomorphism ring of a vector space of countably infinite dimension does not have the IBN property [Rotman, 2009, Exa. 2.36].

For what remains of Example 4.10, we assume that R has the IBN property. We now use Theorem 4.9 to compute the idempotent completion  $\widetilde{\mathcal{M}}$ . Consider first the category  $\mathcal{C}$  of finitely generated free R-modules, and let  $\mathbb{E} \colon \mathcal{C}^{\text{op}} \times \mathcal{C} \to \mathsf{Ab}$  be the biadditive functor given by the Hom-bifunctor  $\mathcal{C}(-,-)$ . Using that any finitely generated free R-module is

isomorphic to  $R^n$  for some  $n \geq 0$ , it is straightforward to check that  $\mathcal{M}$  is equivalent to the category  $\mathbb{E}\text{-Ext}(\mathcal{C})$  of extensions, because R has the IBN property.

Applying Theorem 4.9 now gives  $\widetilde{\mathcal{M}} \simeq \widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ). Observing that  $\widetilde{\mathbb{E}} = \widetilde{\mathcal{C}}(-,-)$ , it follows from [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Exa. 3.3] that  $\widetilde{\mathbb{E}}$ -Ext( $\widetilde{\mathcal{C}}$ ) is equivalent to the arrow category  $\widetilde{\mathcal{C}}^{\to}$  of  $\widetilde{\mathcal{C}}$ , i.e. the category whose objects and morphisms are given by morphisms and commutative squares in  $\widetilde{\mathcal{C}}$ , respectively. For simplicity, assume from here that R is commutative. It is well-known that the idempotent completion of  $\mathcal{C}$  is the category  $\mathcal{P}$  of finitely generated projective R-modules; see e.g. [Borceux, Dejean, 1986, Exa. 2]. We can thus conclude that  $\widetilde{\mathcal{M}}$  is equivalent to the arrow category  $\mathcal{P}^{\to}$ .

# 5. 2-categorical compatibility

The aim of this section is to prove Theorem 1.3 in Section 1, which asserts that the constructions and results we have exhibited so far are compatible in a 2-categorical framework. We start by recalling the definition of the 2-functor  $\Leftrightarrow$  from [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023].

Given an n-exangulated functor  $(\mathscr{F}, \Gamma)$ :  $(\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\mathcal{D}, \mathbb{F}, \mathfrak{t})$ , it follows from [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Prop. 3.11, Thm. 3.17] that there is a corresponding exact functor

$$\mathscr{E}_{(\mathscr{F},\Gamma)} \colon (\mathbb{E}\operatorname{-Ext}(\mathcal{C}),\mathcal{X}_{\mathbb{E}}) \to (\mathbb{F}\operatorname{-Ext}(\mathcal{D}),\mathcal{X}_{\mathbb{F}}).$$

This functor is defined by  $\mathscr{E}_{(\mathscr{F},\Gamma)}(\alpha) = \Gamma(\alpha)$  on objects and by  $\mathscr{E}_{(\mathscr{F},\Gamma)}(a,c) = (\mathscr{F}a,\mathscr{F}c)$  on morphisms. In addition, given an *n*-exangulated natural transformation

$$\beth: (\mathscr{F}, \Gamma) \Rightarrow (\mathscr{G}, \Lambda) \text{ of } n\text{-exangulated functors } (\mathscr{F}, \Gamma), (\mathscr{G}, \Lambda): (\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\mathcal{D}, \mathbb{F}, \mathfrak{t}),$$

one can define a natural transformation

$$\langle \mathbf{\Delta} \rangle \colon \mathscr{E}_{(\mathscr{F},\Gamma)} \Rightarrow \mathscr{E}_{(\mathscr{G},\Lambda)} \text{ given by } \langle \mathbf{\Delta} \rangle_{\alpha} = (\mathbf{\Delta}_A, \mathbf{\Delta}_C) \text{ for } \alpha \in \mathbb{E}(C,A).$$

See [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Thm. 4.19].

5.1. DEFINITION. (See [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Def. 4.20].) Let  $\Leftrightarrow = (\Leftrightarrow_0, \Leftrightarrow_1, \Leftrightarrow_2) \colon n$ - Exang  $\to$  Exact be defined by  $\Leftrightarrow_i \colon n$ - Exang $_i \to$  Exact $_i$ , where:

$${\boldsymbol \diamondsuit}_0({\mathcal C}, {\mathbb E}, {\mathfrak s}) \coloneqq ({\mathbb E}\operatorname{-Ext}({\mathcal C}), {\mathcal X}_{\mathbb E}), \quad {\boldsymbol \diamondsuit}_1({\mathscr F}, \Gamma) \coloneqq {\mathscr E}_{({\mathscr F}, \Gamma)}, \quad {\boldsymbol \diamondsuit}_2({\boldsymbol \beth}) \coloneqq \langle {\boldsymbol \beth} \rangle.$$

It was shown in [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Thm. 4.22] that  $\Leftrightarrow$  defines a functor n-Exang  $\to$  Exact that satisfies the properties of a 2-functor, and thus restricts to a genuine 2-functor n-exang  $\to$  exact. Proposition 1.1 allow us to restrict  $\Leftrightarrow$  to idempotent complete categories. By abuse of notation, we write  $\approx$  for this restriction,

where it should be noted that  $\tilde{\alpha}$  is not the completion of the functor  $\alpha$  in the sense of Section 2 (see 1). We have

$$\widetilde{\otimes} = (\widetilde{\otimes}_0, \widetilde{\otimes}_1, \widetilde{\otimes}_2) \colon \mathsf{IC}\text{-}\,n\text{-}\mathsf{Exang} \to \mathsf{IC}\text{-}\mathsf{Exact},$$

where the assignment  $\widetilde{\otimes}_i$  is defined as the restriction of  $\widehat{\otimes}_i$  for  $i \in \{0, 1, 2\}$  and satisfies the same properties. Again, we obtain a 2-functor  $\widetilde{\otimes}$ : IC-n-exang  $\rightarrow$  IC-exact when restricting 0-cells to small categories.

We frequently use that any n-exangulated category  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  gives rise to the pair  $(\mathcal{C}, \mathbb{E})$  of an additive category equipped with a biadditive functor by forgetting the realisation  $\mathfrak{s}$ . In particular, for each  $(\mathcal{C}, \mathbb{E}, \mathfrak{s}) \in n$ - Exang<sub>0</sub>, there is an exact equivalence

$$\boldsymbol{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}\colon (\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}),\mathcal{X}_{\widetilde{\mathbb{E}}}) \to (\widetilde{\mathbb{E}\operatorname{-Ext}(\mathcal{C})},\widetilde{\mathcal{X}}_{\mathbb{E}}),$$

which was defined in the proof of Theorem 4.9. Furthermore, recall that the functors  $\heartsuit$  and  $\clubsuit$  were defined in Definitions 2.7 and 3.10, respectively.

5.2. THEOREM. The collection  $\mathfrak{V}$  of exact equivalences  $\mathfrak{V}_{(\mathcal{C},\mathbb{E})}$  for  $(\mathcal{C},\mathbb{E},\mathfrak{s})\in n$ - Exang<sub>0</sub> defines a natural transformation  $\mathfrak{F} \Rightarrow \mathfrak{P} \Leftrightarrow$  as indicated in the diagram

PROOF. In order to demonstrate the naturality of **2**, we must show that

$$\begin{array}{ccc} (\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}),\mathcal{X}_{\widetilde{\mathbb{E}}}) & \xrightarrow{& \boldsymbol{w}_{(\mathcal{C},\mathbb{E})} \\ & \mathcal{E}_{(\widetilde{\mathscr{F}},\widetilde{\Gamma})} \downarrow & & \downarrow \widetilde{\mathcal{E}_{(\mathscr{F},\Gamma)}} \\ (\widetilde{\mathbb{F}}\operatorname{-Ext}(\widetilde{\mathcal{D}}),\mathcal{X}_{\widetilde{\mathbb{F}}}) & \xrightarrow{& \boldsymbol{w}_{(\mathcal{D},\mathbb{F})} \\ & & & } & (\widetilde{\mathbb{F}}\operatorname{-Ext}(\widetilde{\mathcal{D}}),\widetilde{\mathcal{X}}_{\widetilde{\mathbb{F}}}) \end{array}$$

commutes in IC-Exact for any n-exangulated functor  $(\mathscr{F},\Gamma)$ :  $(\mathcal{C},\mathbb{E},\mathfrak{s})\to (\mathcal{D},\mathbb{F},\mathfrak{t})$ . That is, we need to show that  $\mathscr{E}_{(\mathscr{F},\Gamma)}$   $\mathscr{U}_{(\mathcal{C},\mathbb{E})}$  and  $\mathscr{U}_{(\mathcal{D},\mathbb{F})}\mathscr{E}_{(\mathscr{F},\widetilde{\Gamma})}$  are equal as functors

$$\widetilde{\mathbb{E}}$$
-Ext $(\widetilde{\mathcal{C}}) \to \widetilde{\mathbb{F}}$ -Ext $(\mathcal{D})$ .

To this end, let  $(e_A, \alpha, e_C)$  be an object in  $\widetilde{\mathbb{E}}$ -Ext $(\widetilde{\mathcal{C}})$ . On the one hand, we have that

$$\widetilde{\mathscr{E}_{(\mathscr{F},\Gamma)}}\, \, \overline{\!\!\mathcal{U}}_{(\mathscr{C},\mathbb{R})}\left(e_A,\alpha,e_C\right) = \widetilde{\mathscr{E}_{(\mathscr{F},\Gamma)}}(\alpha,(e_A,e_C)) = (\Gamma(\alpha),(\mathscr{F}e_A,\mathscr{F}e_C)),$$

while on the other hand

$$\mathbf{\mathcal{U}}_{(\mathcal{D},\mathbb{F})}\mathcal{E}_{(\widetilde{\mathscr{F}},\widetilde{\Gamma})}(e_A,\alpha,e_C) = \mathbf{\mathcal{U}}_{(\mathcal{D},\mathbb{F})}(\mathscr{F}e_A,\Gamma(\alpha),\mathscr{F}e_C) = (\Gamma(\alpha),(\mathscr{F}e_A,\mathscr{F}e_C)).$$

Hence, the functors  $\widetilde{\mathscr{E}_{(\mathscr{F},\Gamma)}} \mathscr{\pmb{v}}_{(\mathscr{C},\mathbb{E})}$  and  $\mathscr{\pmb{v}}_{(\mathscr{D},\mathbb{F})} \mathscr{E}_{(\widetilde{\mathscr{F}},\widetilde{\Gamma})}$  agree on objects. Consider next a morphism  $((e_B,a,e_A),(e_D,c,e_C))$ :  $(e_A,\alpha,e_C) \to (e_B,\beta,e_D)$  in  $\widetilde{\mathbb{E}}$ -Ext $(\widetilde{\mathscr{C}})$ . We have

$$\begin{split} \widetilde{\mathscr{E}_{(\mathscr{F},\Gamma)}} \, & \, \pmb{\mathcal{V}}_{(\mathcal{C},\mathbb{E})} \left( (e_B,a,e_A), (e_D,c,e_C) \right) = \widetilde{\mathscr{E}_{(\mathscr{F},\Gamma)}} ((e_B,e_D), (a,c), (e_A,e_C)) \\ & = ((\mathscr{F}e_B,\mathscr{F}e_D), (\mathscr{F}a,\mathscr{F}c), (\mathscr{F}e_A,\mathscr{F}e_C)) \\ & = & \, \pmb{\mathcal{V}}_{(\mathcal{D},\mathbb{F})} ((\mathscr{F}e_B,\mathscr{F}a,\mathscr{F}e_A), (\mathscr{F}e_D,\mathscr{F}c,\mathscr{F}e_C)) \\ & = & \, \pmb{\mathcal{V}}_{(\mathcal{D},\mathbb{F})} \mathscr{E}_{(\mathscr{F},\widetilde{\Gamma})} ((e_B,a,e_A), (e_D,c,e_C)). \end{split}$$

The next result says that **2** satisfies the defining property of a 2-natural transformation between 2-functors; see [Johnson, Yau, 2021, Prop. 4.2.11].

5.3. PROPOSITION. Let  $\beth$ :  $(\mathscr{F}, \Gamma) \Rightarrow (\mathscr{G}, \Lambda)$  be an n-exangulated natural transformation between n-exangulated functors  $(\mathscr{F}, \Gamma), (\mathscr{G}, \Lambda)$ :  $(\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\mathcal{D}, \mathbb{F}, \mathfrak{t})$ . Then the square

 $commutes\ in\ \mathsf{IC\text{-}Exact}(\widetilde{\mathbb{C}}\operatorname{-Ext}(\widetilde{\mathcal{C}}), \widetilde{\mathbb{F}\operatorname{-Ext}(\mathcal{D})}).$ 

PROOF. Note first that we have the horizontal equalities by Theorem 5.2. Consider an arbitrary object  $(e_A, \alpha, e_C) \in \widetilde{\mathbb{E}}((C, e_C), (A, e_A))$  in  $\widetilde{\mathbb{E}}$ -Ext $(\widetilde{\mathcal{C}}) = (\widetilde{\otimes} \clubsuit)(\mathcal{C}, \mathbb{E}, \mathfrak{s})$ . On the one hand,  $((\heartsuit \Leftrightarrow)(\beth) \circ_h \mathrm{id}_{\mathcal{U}_{(\mathcal{C},\mathbb{E})}})_{(e_A,\alpha,e_C)}$  is equal to

$$\begin{split} &(\heartsuit \Leftrightarrow)(\beth)_{ \begin{subarray}{c} (\mathcal{O}, \Xi) \end{subarray}} (\heartsuit \Leftrightarrow)(\beth)_{ (\mathcal{C}, \Xi)}(e_A, \alpha, e_C)} \circ (\heartsuit \Leftrightarrow)(\mathscr{F}, \Gamma) (\operatorname{id}_{ \begin{subarray}{c} (\mathcal{O}, \Xi) \end{subarray}})_{(e_A, \alpha, e_C)} \\ &= (\heartsuit \Leftrightarrow)(\beth)_{(\alpha, (e_A, e_C))} \circ \widetilde{\mathscr{E}_{(\mathscr{F}, \Gamma)}} (\operatorname{id}_{(\alpha, (e_A, e_C))}) \\ &= (\heartsuit \Leftrightarrow)(\beth)_{(\alpha, (e_A, e_C))} \\ &= (\heartsuit \Leftrightarrow(\beth))_{(\alpha, (e_A, e_C))} \\ &= (\widecheck{\beth})_{(\alpha, (e_A, e_C))} \\ &= (\mathscr{E}_{(\mathscr{G}, \Lambda)}(e_A, e_C), \mathscr{E}_{(\mathscr{G}, \Lambda)}(e_A, e_C) \langle \beth \rangle_{\alpha} \mathscr{E}_{(\mathscr{F}, \Gamma)}(e_A, e_C), \mathscr{E}_{(\mathscr{F}, \Gamma)}(e_A, e_C)) \\ &= ((\mathscr{G}e_A, \mathscr{G}e_C), ((\mathscr{G}e_A) \beth_A \mathscr{F}e_A, (\mathscr{G}e_C) \beth_C \mathscr{F}e_C), (\mathscr{F}e_A, \mathscr{F}e_C)). \end{split}$$

On the other hand,  $(\mathrm{id}_{\pmb{\mathcal{U}}_{(\mathcal{D}^{\mathbb{F}})}} \circ_h (\widetilde{\otimes} \clubsuit)(\beth))_{(e_A,\alpha,e_C)}$  is equal to

$$\begin{split} &(\mathrm{id}_{\pmb{\mathcal{U}}_{(\mathcal{D},\mathbb{F})}})_{(\mathfrak{F},\Lambda)(e_A,\alpha,e_C)} \circ \pmb{\mathcal{U}}_{(\mathcal{D},\mathbb{F})}((\mathfrak{F},\Lambda)(e_A,\alpha,e_C)) \\ &= \pmb{\mathcal{U}}_{(\mathcal{D},\mathbb{F})}\langle \widetilde{\beth} \rangle_{(e_A,\alpha,e_C)} \\ &= \pmb{\mathcal{U}}_{(\mathcal{D},\mathbb{F})}((\mathscr{G}e_A,(\mathscr{G}e_A)\,\, \beth_A\,\,\mathscr{F}e_A,\mathscr{F}e_A),(\mathscr{G}e_C,(\mathscr{G}e_C)\,\, \beth_C\,\,\mathscr{F}e_C,\mathscr{F}e_C)) \\ &= ((\mathscr{G}e_A,\mathscr{G}e_C),((\mathscr{G}e_A)\,\, \beth_A\,\,\mathscr{F}e_A,(\mathscr{G}e_C)\,\, \beth_C\,\,\mathscr{F}e_C),(\mathscr{F}e_A,\mathscr{F}e_C)), \end{split}$$

which finishes the proof.

Restricting to small categories, Theorem 5.2 and Proposition 5.3 yield the following corollary, demonstrating that idempotent completions and extension categories are compatible constructions in a 2-category-theoretic sense.

5.4. COROLLARY. There is a 2-natural transformation  $\mathbf{U}$ :  $\widetilde{\otimes} \mathbf{A} \Rightarrow \nabla \hat{\otimes}$  of 2-functors from n-exang to IC-exact consisting of exact equivalences.

## 6. The weak idempotent completion

The aim of this section is to relate our main results to the construction of weak idempotent completions. Note that the definitions and results in Section 6 rely on concepts and notation which should be recalled from previous sections. Many of the proofs in the weakly idempotent complete case are straightforward modifications of those for the idempotent completion. However, we remark that our proof of the key result Proposition 6.4 differs significantly from the proof of Proposition 1.1 and relies on a result from [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023].

Recall that an additive category  $\mathcal{C}$  is said to be weakly idempotent complete if every retraction has a kernel or, equivalently, if every section has a cokernel; see [Bühler, 2010, Lem. 7.1, Def. 7.2]. Every idempotent complete category is weakly idempotent complete; see e.g. [Borceux, 1994, Prop. 6.5.4] and [Thomason, Trobaugh, 1990, Lem. A.6.2]. For more detail on weak idempotent completions, see e.g. [Klapproth, Msapato, Shah, 2022, Sec. 2.2].

6.1. DEFINITION. (See [Klapproth, Msapato, Shah, 2022, Def. 2.10].) The weak idempotent completion  $\widehat{\mathcal{C}}$  of  $\mathcal{C}$  is the full subcategory of  $\widetilde{\mathcal{C}}$  that consists of all objects (X,e) for which  $\mathrm{id}_X - e$  splits in  $\mathcal{C}$ .

Note that  $\widehat{\mathcal{C}}$  is an additive subcategory of  $\widetilde{\mathcal{C}}$  and that there is a canonical additive inclusion functor  $\mathscr{K}_{\mathcal{C}} \colon \mathcal{C} \to \widehat{\mathcal{C}}$  defined by  $\mathscr{K}_{\mathcal{C}}(X) \coloneqq (X, \mathrm{id}_X)$  for  $X \in \mathcal{C}$  and  $\mathscr{K}_{\mathcal{C}}(f) \coloneqq (\mathrm{id}_Y, f, \mathrm{id}_X)$  for  $f \in \mathcal{C}(X,Y)$ . This functor is 2-universal among additive functors from  $\mathcal{C}$  to weakly idempotent complete categories; see [Klapproth, Msapato, Shah, 2022, Prop. 2.13]. The functor  $\mathscr{I}_{\mathcal{C}} \colon \mathcal{C} \to \widetilde{\mathcal{C}}$  factors through  $\mathscr{K}_{\mathcal{C}}$  via the canonical inclusion functor  $\mathscr{L}_{\mathcal{C}} \colon \widehat{\mathcal{C}} \to \widetilde{\mathcal{C}}$ , which is the identity on objects and morphisms. In other words, there is a commutative diagram

of additive categories and functors.

Suppose that  $(\mathcal{C}, \mathcal{X})$  is an exact category. One defines an exact structure  $\widehat{\mathcal{X}}$  on  $\widehat{\mathcal{C}}$  as follows. An object of  $\widehat{\mathcal{C}}^{\to\to}$  is in  $\widehat{\mathcal{X}}$  if it is a direct summand of an object in the image of  $\mathcal{X}$ 

under the functor  $\mathcal{C}^{\to\to}\to\widehat{\mathcal{C}}^{\to\to}$  induced by  $\mathscr{K}_{\mathcal{C}}\colon\mathcal{C}\to\widehat{\mathcal{C}}$ . In particular, a kernel-cokernel pair lies in  $\widehat{\mathcal{X}}$  if and only if it is a kernel-cokernel pair in  $\widetilde{\mathcal{X}}$  in which all three terms lie in  $\widehat{\mathcal{C}}$ .

Proposition 6.2 below shows that  $(\widehat{\mathcal{C}}, \widehat{\mathcal{X}})$  is a fully exact subcategory of  $(\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}})$ . This means that  $\widehat{\mathcal{C}}$  is extension-closed in  $(\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}})$  and that  $\widehat{\mathcal{X}}$  coincides with the inherited exact structure; see [Bühler, 2010, Lem. 10.20, Def. 10.21].

6.2. PROPOSITION. The pair  $(\widehat{C}, \widehat{\mathcal{X}})$  is a fully exact subcategory of  $(\widetilde{C}, \widetilde{\mathcal{X}})$ . The inclusion  $\mathscr{K}_{\mathcal{C}}: (\mathcal{C}, \mathcal{X}) \to (\widehat{C}, \widehat{\mathcal{X}})$  is a fully faithful exact functor that reflects exactness.

PROOF. Suppose that  $(A, e_A) \xrightarrow{(e_B, a, e_A)} (B, e_B) \xrightarrow{(e_C, b, e_B)} (C, e_C)$  is a conflation in  $(\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}})$  with  $(A, e_A), (C, e_C) \in \widehat{\mathcal{C}}$ . By [Klapproth, Msapato, Shah, 2022, Prop. 5.1], there is an object  $(D, e_D) \in \widehat{\mathcal{C}}$  and an isomorphism

$$(A, e_A) \xrightarrow{(e_B, a, e_A)} (B, e_B) \xrightarrow{(e_C, b, e_B)} (C, e_C)$$

$$\downarrow \downarrow (e_D, r, e_B) \qquad \qquad \downarrow (A, e_A) \xrightarrow{(e_D, c, e_A)} (D, e_D) \xrightarrow{(e_C, d, e_D)} (C, e_C)$$

$$(12)$$

in the category  $\mathbf{K}^3_{(\widetilde{C};(A,e_A),(C,e_C))}$  defined in [Herschend, Liu, Nakaoka, 2021, Def. 2.17]. By [Herschend, Liu, Nakaoka, 2021, Lem. 4.1], the morphism  $(e_D,r,e_B)$  is an isomorphism in  $\widetilde{\mathcal{C}}$ , so  $\widehat{\mathcal{C}}$  is extension-closed. Since a sequence lies in  $\widehat{\mathcal{X}}$  if and only if it lies in  $\widetilde{\mathcal{X}}$  and has all terms in  $\widehat{\mathcal{C}}$ , the inherited exact structure (see [Bühler, 2010, Lem. 10.20]) coincides with  $\widehat{\mathcal{X}}$ . This shows that  $(\widehat{\mathcal{C}},\widehat{\mathcal{X}})$  is a fully exact subcategory of  $(\widetilde{\mathcal{C}},\widetilde{\mathcal{X}})$ .

As a consequence, we observe that  $\mathscr{L}_{\mathcal{C}} \colon (\widehat{\mathcal{C}}, \widehat{\mathcal{X}}) \to (\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}})$  from 11 is an exact functor. One sees directly that  $\mathscr{K}_{\mathcal{C}} \colon (\mathcal{C}, \mathcal{X}) \to (\widehat{\mathcal{C}}, \widehat{\mathcal{X}})$  is fully faithful and exact. That it reflects exactness follows from  $\mathscr{I}_{\mathcal{C}} \colon (\mathcal{C}, \mathcal{X}) \to (\widetilde{\mathcal{C}}, \widetilde{\mathcal{X}})$  reflecting exactness (see Proposition 2.3) and the commutative diagram 11.

Suppose that  $(\mathcal{D}, \mathcal{Y})$  is also an exact category. Let  $\mathscr{F}, \mathscr{G} \colon (\mathcal{C}, \mathcal{X}) \to (\mathcal{D}, \mathcal{Y})$  be exact functors and consider a natural transformation  $\Xi \colon \mathscr{F} \Rightarrow \mathscr{G}$ . The completions  $\widetilde{\mathscr{F}}$  and  $\widetilde{\mathscr{G}}$  restrict to give exact functors  $\widehat{\mathscr{F}}, \widehat{\mathscr{G}} \colon (\widehat{\mathcal{C}}, \widehat{\mathcal{X}}) \to (\widehat{\mathcal{D}}, \widehat{\mathcal{Y}})$ . Moreover,  $\widetilde{\Xi}$  restricts to a natural transformation

$$\widehat{\mathbf{\beth}} \coloneqq \Big\{ \, \widetilde{\mathbf{\beth}}_{(X,e)} \, \Big\}_{(X,e) \in \widehat{\mathcal{C}}} : \, \widehat{\mathscr{F}} \Rightarrow \widehat{\mathscr{G}}.$$

We write WIC-Exact and WIC-exact for the restrictions to weakly idempotent complete 0-cells in Exact and exact, respectively, and note that WIC-exact is a 2-category.

6.3. DEFINITION. Let  $\diamondsuit = (\diamondsuit_0, \diamondsuit_1, \diamondsuit_2)$ : Exact  $\to$  WIC-Exact be defined by the assignments  $\diamondsuit_i$ : Exact<sub>i</sub>  $\to$  WIC-Exact<sub>i</sub>, where:

$$\Diamond_0(\mathcal{C},\mathcal{X}) \coloneqq (\widehat{\mathcal{C}},\widehat{\mathcal{X}}), \quad \Diamond_1(\mathscr{F}) \coloneqq \widehat{\mathscr{F}}, \quad \Diamond_2(\beth) \coloneqq \widehat{\beth}.$$

These assignments are well-defined by the discussion above. As a consequence of Theorem 2.8, we see that  $\diamondsuit$  satisfies the properties of a 2-functor, because  $\widehat{\mathscr{F}}$  and  $\widehat{\beth}$  are just restrictions of  $\widetilde{\mathscr{F}}$  and  $\widetilde{\beth}$ , respectively. Thus, one deduces an analogue of Theorem 2.8.

Throughout the rest of this section, suppose that  $(\mathcal{C}, \mathbb{E}, \mathfrak{s})$  is an *n*-exangulated category. The next result is an analogue of Proposition 1.1, but interestingly the proof is very different.

6.4. Proposition. If C is weakly idempotent complete, then  $\mathbb{E}\text{-Ext}(C)$  is also weakly idempotent complete.

PROOF. Let (a, c):  $\alpha \to \beta$  be a morphism in  $\mathbb{E}$ -Ext $(\mathcal{C})$  for  $\alpha \in \mathbb{E}(C, A)$  and  $\beta \in \mathbb{E}(D, B)$ . Suppose that this morphism is a section. Thus, there is a retraction (r, s):  $\beta \to \alpha$  in  $\mathbb{E}$ -Ext $(\mathcal{C})$  satisfying  $(ra, sc) = (r, s) \circ (a, c) = \mathrm{id}_{\alpha} = (\mathrm{id}_A, \mathrm{id}_C)$ . In particular, this implies that a and c are sections in  $\mathcal{C}$ .

Since  $\mathcal{C}$  is weakly idempotent complete, these morphisms each admit a cokernel, which we denote by  $b = \operatorname{coker} a$  and  $d = \operatorname{coker} c$ . We have that (b, d) is a cokernel of (a, c) in  $\mathbb{E}\operatorname{-Ext}(\mathcal{C})$  by [Bennett-Tennenhaus, Haugland, Sandøy, Shah, 2023, Lem. 3.1], so  $\mathbb{E}\operatorname{-Ext}(\mathcal{C})$  is weakly idempotent complete.

It was shown in [Klapproth, Msapato, Shah, 2022, Sec. 5] that the weak idempotent completion  $\widehat{\mathcal{C}}$  of  $\mathcal{C}$  admits an n-exangulated structure because it is an extension-closed subcategory of  $(\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \widetilde{\mathfrak{s}})$  in the sense of [Herschend, Liu, Nakaoka, 2022, Def. 4.1]. We denote the corresponding n-exangulated category by  $(\widehat{\mathcal{C}}, \widehat{\mathbb{E}}, \widehat{\mathfrak{s}})$ . We now recall how  $\widehat{\mathbb{E}}$  and  $\widehat{\mathfrak{s}}$  are defined.

6.5. DEFINITION. (See [Klapproth, Msapato, Shah, 2022, Def. 5.3].) The biadditive functor  $\widehat{\mathbb{E}}$ :  $\widehat{C}^{\mathrm{op}} \times \widehat{\mathcal{C}} \to \mathsf{Ab}$  is the restriction of  $\widetilde{\mathbb{E}}$  to  $\widehat{C}^{\mathrm{op}} \times \widehat{\mathcal{C}}$ . The exact realisation  $\widehat{\mathfrak{s}}$  of  $\widehat{\mathbb{E}}$  is defined as follows. Suppose that  $(e_A, \alpha, e_C) \in \widehat{\mathbb{E}}((C, e_C), (A, e_A))$  is an  $\widehat{\mathbb{E}}$ -extension. Then  $\widehat{\mathfrak{s}}(e_A, \alpha, e_C) = [\widehat{X}_{\bullet}]$  for some (n+2)-term complex  $\widehat{X}_{\bullet}$  in  $\widehat{\mathcal{C}}$  by [Klapproth, Msapato, Shah, 2022, Prop. 5.1]. Thus, it is declared that  $\widehat{\mathfrak{s}}(e_A, \alpha, e_C) = [\widehat{X}_{\bullet}]$ .

Proposition 6.4 has the following immediate corollary.

6.6. COROLLARY.  $(\widehat{\mathbb{E}} \operatorname{-Ext}(\widehat{\mathcal{C}}), \mathcal{X}_{\widehat{\mathbb{E}}})$  is a weakly idempotent complete exact category.

Recall from the proof of Theorem 4.9 that we have an exact equivalence

$$\boldsymbol{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}\colon (\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}),\mathcal{X}_{\widetilde{\mathbb{E}}}) \to (\widetilde{\mathbb{E}\operatorname{-Ext}(\mathcal{C})},\widetilde{\mathcal{X}_{\mathbb{E}}})$$

with quasi-inverse  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$  for each n-exangulated category  $(\mathcal{C},\mathbb{E},\mathfrak{s})$  by forgetting the realisation  $\mathfrak{s}$ . It follows from Proposition 4.2 that  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$  restricts to a functor

$$\mathbf{\mathcal{U}}'_{(\mathcal{C},\mathbb{R})} \colon (\widehat{\mathbb{E}}\operatorname{-Ext}(\widehat{\mathcal{C}}),\mathcal{X}_{\widehat{\mathbb{R}}}) \to (\widehat{\mathbb{E}\operatorname{-Ext}(\mathcal{C})},\widehat{\mathcal{X}}_{\mathbb{R}}).$$

To see this, let  $(e_A, \alpha, e_C) \in \widehat{\mathbb{E}}((C, e_C), (A, e_A))$ . Consider  $\mathbf{\mathcal{U}}_{(\mathcal{C}, \mathbb{E})}(e_A, \alpha, e_C) = (\alpha, (e_A, e_C))$ . Note that  $\mathrm{id}_{\alpha} - (e_A, e_C) : \alpha \to \alpha$  is a morphism of  $\mathbb{E}$ -extensions since  $(e_A, e_C)$  is an element of  $\mathrm{End}_{\mathbb{E}\text{-}\mathrm{Ext}(\mathcal{C})}(\alpha)$ . We must show that  $\mathrm{id}_{\alpha} - (e_A, e_C) = (\mathrm{id}_A - e_A, \mathrm{id}_C - e_C)$  splits in  $\mathbb{E}\text{-}\mathrm{Ext}(\mathcal{C})$ . This follows from Proposition 4.2, as  $(A, e_A), (C, e_C) \in \widehat{\mathcal{C}}$  means that  $\mathrm{id}_A - e_A$  and  $\mathrm{id}_C - e_C$  split in  $\mathcal{C}$ .

A similar argument as above shows that  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$  restricts to a functor

$$\boldsymbol{\Xi}'_{(\mathcal{C},\mathbb{E})}\colon (\widehat{\mathbb{E}\operatorname{-Ext}(\mathcal{C})},\widehat{\mathcal{X}}_{\mathbb{E}})\to (\widehat{\mathbb{E}}\operatorname{-Ext}(\widehat{\mathcal{C}}),\mathcal{X}_{\widehat{\mathbb{E}}}).$$

Note that  $\mathbf{\mathcal{U}}'_{(\mathcal{C},\mathbb{E})}$  and  $\mathbf{\mathcal{Z}}'_{(\mathcal{C},\mathbb{E})}$  are mutually quasi-inverse as they are restrictions of  $\mathbf{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}$  and  $\mathbf{\mathcal{Z}}'_{(\mathcal{C},\mathbb{E})}$  respectively. Since it is straightforward to check that  $\mathbf{\mathcal{U}}'_{(\mathcal{C},\mathbb{E})}$  and  $\mathbf{\mathcal{Z}}'_{(\mathcal{C},\mathbb{E})}$  preserve the exact structures, we have the following.

6.7. THEOREM. There is an exact equivalence  $\mathbf{\mathcal{U}}'_{(\mathcal{C},\mathbb{E})}: (\widehat{\mathbb{E}}\operatorname{-Ext}(\widehat{\mathcal{C}}), \mathcal{X}_{\widehat{\mathbb{E}}}) \to (\widehat{\mathbb{E}}\operatorname{-Ext}(\widehat{\mathcal{C}}), \widehat{\mathcal{X}}_{\mathbb{E}})$  given by the restriction of  $\mathbf{\mathcal{U}}_{(\mathcal{C},\mathbb{E})}$ .

Suppose that  $(\mathscr{F},\Gamma)\colon (\mathcal{C},\mathbb{E},\mathfrak{s})\to (\mathcal{D},\mathbb{F},\mathfrak{t})$  is an n-exangulated functor. One can define a natural transformation  $\widehat{\Gamma}\colon\widehat{\mathbb{E}}(-,-)\Rightarrow\widehat{\mathbb{F}}(\widehat{\mathscr{F}}-,\widehat{\mathscr{F}}-)$  by setting

$$\widehat{\Gamma}_{((C,e_C),(A,e_A))}(e_A,\alpha,e_C) \coloneqq (\mathscr{F}e_A,\Gamma(\alpha),\mathscr{F}e_C).$$

Notice that  $\widehat{\Gamma}$  is just a restriction of  $\widetilde{\Gamma}$ . We claim that the pair  $(\widehat{\mathscr{F}},\widehat{\Gamma})$  is an n-exangulated functor  $(\widehat{\mathcal{C}},\widehat{\mathbb{E}},\widehat{\mathfrak{s}}) \to (\widehat{\mathcal{D}},\widehat{\mathbb{F}},\widehat{\mathfrak{t}})$ . To verify this, assume that  $\widehat{\mathfrak{s}}(e_A,\alpha,e_C) = [\widehat{X}_{\bullet}]$ , which implies  $\widetilde{\mathfrak{s}}(e_A,\alpha,e_C) = [\widehat{X}_{\bullet}]$ . This yields  $\widetilde{\mathfrak{t}}(\widetilde{\Gamma}(e_A,\alpha,e_C)) = [\widetilde{\mathscr{F}}_{\mathsf{C}}(\widehat{X}_{\bullet})]$ , as  $(\widetilde{\mathscr{F}},\widetilde{\Gamma})$  is n-exangulated by Lemma 3.6. Since  $\widetilde{\mathscr{F}}_{\mathsf{C}}(\widehat{X}_{\bullet})$  is a complex in  $\widehat{\mathcal{D}}$ , we obtain

$$\widehat{\mathfrak{t}}(\widehat{\Gamma}(e_A,\alpha,e_C)) = [\widetilde{\mathscr{F}}_{\mathbf{C}}(\widehat{X}_{\bullet})] = [\widehat{\mathscr{F}}_{\mathbf{C}}(\widehat{X}_{\bullet})]$$

as required.

Let  $\beth$ :  $(\mathscr{F}, \Gamma) \Rightarrow (\mathscr{G}, \Lambda)$  be an *n*-exangulated natural transformation between *n*-exangulated functors  $(\mathscr{F}, \Gamma), (\mathscr{G}, \Lambda): (\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\mathcal{D}, \mathbb{F}, \mathfrak{t})$ . Using that the completion

$$\widetilde{\mathbf{\Delta}} \colon (\widetilde{\mathscr{F}},\widetilde{\boldsymbol{\Gamma}}) \Rightarrow (\widetilde{\mathscr{G}},\widetilde{\boldsymbol{\Lambda}})$$

is an *n*-exangulated natural transformation by Lemma 3.8, the same holds for the restriction  $\widehat{\mathbf{\Delta}}$ :  $(\widehat{\mathscr{F}}, \widehat{\Gamma}) \Rightarrow (\widehat{\mathscr{G}}, \widehat{\Lambda})$ .

We write WIC-n-Exang for the collections obtained by only considering weakly idempotent complete 0-cells in n-Exang. Based on the discussion above, we may thus define

$$\spadesuit = (\spadesuit_0, \spadesuit_1, \spadesuit_2) \colon n$$
 - Exang  $\to$  WIC-  $n$  - Exang

using assignments  $\mathbf{A}_i$ : n-Exang<sub>i</sub>  $\rightarrow$  WIC-n-Exang<sub>i</sub>, where:

$$\spadesuit_0(\mathcal{C}, \mathbb{E}, \mathfrak{s}) := (\widehat{\mathcal{C}}, \widehat{\mathbb{E}}, \widehat{\mathfrak{s}}), \quad \spadesuit_1(\mathscr{F}, \Gamma) := (\widehat{\mathscr{F}}, \widehat{\Gamma}), \quad \spadesuit_2(\beth) := \widehat{\beth}.$$

It is straightforward to check that the analogue of Theorem 3.11 holds for  $\spadesuit$ .

As an application of Proposition 6.4, we can restrict  $\diamondsuit$  to weakly idempotent complete categories. This restriction is denoted by

$$\widehat{\boldsymbol{x}} = (\widehat{\boldsymbol{x}}_0, \widehat{\boldsymbol{x}}_1, \widehat{\boldsymbol{x}}_2) \colon \operatorname{WIC-} n\operatorname{-}\operatorname{Exang} \to \operatorname{WIC-Exact},$$

where  $\widehat{\bowtie}_i$  is the restriction of  $\widehat{\bowtie}_i$  for  $i \in \{0, 1, 2\}$  and satisfies the same properties. The proof of Theorem 5.2 yields the next theorem. Similarly, analogues of Proposition 5.3 and Corollary 5.4 follow.

6.8. THEOREM. The collection  $\mathbf{\mathcal{U}}'$  of exact equivalences  $\mathbf{\mathcal{U}}'_{(\mathcal{C},\mathbb{E})}$  for  $(\mathcal{C},\mathbb{E},\mathfrak{s})\in n$ -  $\mathsf{Exang}_0$  defines a natural transformation  $\widehat{\otimes} \spadesuit \Rightarrow \Diamond \diamondsuit$ .

By [Klapproth, Msapato, Shah, 2022, Prop. 4.36], there is an n-exangulated functor  $(\mathscr{I}_{\mathcal{C}}, \Gamma_{\mathcal{C}}) \colon (\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \widetilde{\mathfrak{s}})$ , where  $\Gamma_{\mathcal{C}}(\alpha) = (\mathrm{id}_A, \alpha, \mathrm{id}_C)$  for  $\alpha \in \mathbb{E}(C, A)$ . Similarly, it is shown in [Klapproth, Msapato, Shah, 2022, Thm. 5.5] that  $(\mathscr{K}_{\mathcal{C}}, \Delta_{\mathcal{C}}) \colon (\mathcal{C}, \mathbb{E}, \mathfrak{s}) \to (\widehat{\mathcal{C}}, \widehat{\mathbb{E}}, \widehat{\mathfrak{s}})$  is n-exangulated, where  $\Delta_{\mathcal{C}}(\alpha) = (\mathrm{id}_A, \alpha, \mathrm{id}_C)$ . Diagram 11 can be augmented to a commutative diagram

$$(\mathcal{C}, \mathbb{E}, \mathfrak{s}) \xrightarrow{(\mathscr{I}_{\mathcal{C}}, \Gamma_{\mathcal{C}})} (\widetilde{\mathcal{C}}, \widetilde{\mathbb{E}}, \widetilde{\mathfrak{s}})$$

$$(\mathscr{K}_{\mathcal{C}}, \Delta_{\mathcal{C}}) \xrightarrow{(\widehat{\mathcal{C}}, \widehat{\mathbb{E}}, \widehat{\mathfrak{s}})} (13)$$

in n-Exang, where  $\Theta_{\mathcal{C}}(e_B, \beta, e_D) := (e_B, \beta, e_D)$  for  $(e_B, \beta, e_D) \in \widehat{\mathbb{E}}((D, e_D), (B, e_B))$ . Using the functor  $\mathfrak{p} : n$ -Exang  $\to$  Exact, the diagram 13 induces the commutative diagram

$$(\mathbb{E}\operatorname{-Ext}(\mathcal{C}), \mathcal{X}_{\mathbb{E}}) \xrightarrow{\mathscr{E}_{(\mathscr{I}_{\mathcal{C}}, \Gamma_{\mathcal{C}})}} (\widetilde{\mathbb{E}}\operatorname{-Ext}(\widetilde{\mathcal{C}}), \mathcal{X}_{\widetilde{\mathbb{E}}})$$

$$(\widehat{\mathbb{E}}\operatorname{-Ext}(\widehat{\mathcal{C}}), \mathcal{X}_{\widehat{\mathbb{E}}})$$

in Exact.

Building on the work in Section 6, it is straightforward to check that we obtain Theorem 6.9 below. We note that there is a similar commutative diagram involving the exact equivalence  $\mathbf{Z}_{(\mathcal{C},\mathbb{E})}$  and its restriction  $\mathbf{Z}'_{(\mathcal{C},\mathbb{E})}$ .

## 6.9. Theorem. The diagram

in Exact is commutative.

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